



Local Government Performance Assessment

Koboko District

(Vote Code: 563)

Assessment	Scores
Accountability Requirements	83%
Crosscutting Performance Measures	51%
Educational Performance Measures	72%
Health Performance Measures	75%
Crosscutting Performance Measures	46%

Summary of requirements	Definition of compliance	Compliance justification	Compliant?
Annual performance contract			
LG has submitted an annual performance contract of the forthcoming year by June 30 on the basis of the PFMAA and LG Budget guidelines for the coming financial year.	xxx	The final Koboko district performance contract form B for FY2017/18 was submitted to MoFPED on 04th July,2017 and picked on 28th/7/2017 by Bada Fred (District Planner) contrary to the 30th June, 2017 standard.	No
Supporting Documents for the Budget required as per the PFMA are submitted and available			
LG has submitted a Budget that includes a Procurement Plan for the forthcoming FY (LG PPDA Regulations, 2006).	xxxxx	There was evidence that Koboko district LG submitted a budget for FY2017/18, with a procurement plan attached as per LG PPDA regulations, 2006	Yes
Reporting: submission of annual and quarterly budget performance reports			
LG has submitted the annual performance report for the previous FY on or before 31st July (as per LG Budget Preparation Guidelines for coming FY; PFMA Act, 2015)	xxxxx	The annual performance report for FY2016/17 was submitted to MoFPED on 28th July, 2017, by Bada Fred (District Planner), which is in line with LG preparation guidelines standard of 31st July 2017	Yes

<p>LG has submitted the quarterly budget performance report for all the four quarters of the previous FY; PFMA Act, 2015)</p>	<p>xxxxxx</p>	<p>All the 4 quarterly budget performance reports for FY2016/17 were submitted to MoFPED on the dates indicated below</p> <ul style="list-style-type: none"> • Quarter 4 submitted on 28th July, 2017, by Bada Fred • Quarter 3 submitted on 20th June, 2017, by Bada Fred • Quarter 2 submitted on 9th February, 2017, by Bada Fred • Quarter 1 submitted on 4th November, 2016, by Bada Fred 	<p>Yes</p>
<p>Audit</p>			
<p>The LG has provided information to the PS/ST on the status of implementation of Internal Auditor General or Auditor General findings for the previous financial year by April 30 (PFMA s. 11 2g). This statement includes actions against all findings where the Auditor General recommended the Accounting Officer to take action (PFMA Act 2015; Local Governments Financial and Accounting Regulations 2007; The Local Governments Act, Cap 243).</p>	<p>xxxxx</p>	<ul style="list-style-type: none"> • A submission on the status of Internal Auditor General findings was made to the PS/ST on 20/03/17 in a letter dated 01/03/17 (Ref. INT/D/250). • The statement included actions against all internal audit findings for the financial year 2015/16 where action had been recommended. 	<p>Yes</p>
<p>The audit opinion of LG Financial Statement (issued in January) is not adverse or disclaimer</p>	<p>xxxxx</p>	<p>Koboko DLG has an unqualified Audit opinion for its FY 2016/17 financial statements, with an emphasis of matter on 9 issues. ? Utilization of Medicines and Health Supplies ? Drug stock-outs ? Understaffing ? Outstanding Domestic Arrears ? Failure to implement budget as approved by Parliament ? Low recovery rate of Youth Livelihood Project Funds ? Review of Internal Audit Department ? Inadequate Internal Audit staff ? Release of USE funds to a non-Operational school</p>	<p>Yes</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>The DWO has targeted allocations to sub-counties with safe water coverage below the district average.</p> <p>Maximum score 10 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Water department has targeted sub-counties with safe water coverage below the district average in the budget for the current FY: score 10 	<p>Koboko district safe water coverage status is 65.4%; the annual work plan for the financial year 2017/2018 planned to construct 1 borehole pumped piped water supply system drill, 4 springs, 8 deep boreholes in all sub counties to increase safe water coverage in the district. According to DWO, the selected sub counties are Ludara with a safe water coverage of 87.8%, Dranya 78.7%, Midia 82.6%, Abuku 88.6%, Lobula 68.6% and Kuluba 48.7% safe water coverage was allocated 3 deep boreholes. This is evidence that LG water department targeted all sub counties including those with with safe water coverage below the district coverage for the current financial year.</p>	10
<p>The LG Water department has implemented budgeted water projects in the targeted sub-counties (i.e. sub-counties with safe water coverage below the district average)</p> <p>Maximum 15 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Water department has implemented budgeted water projects in the targeted sub-counties with safe water coverage below the district average in the previous FY: score 15 	<p>Koboko district safe water coverage is 65.4%, with 4 sub counties above the district average status and only two Lobule and Kuluba below the district coverage status. According to Koboko district annual work plan for financial year 2016/2017, water department planned to conduct 1 feasibility study in Abuku sub county, rehabilitate 20 deep boreholes and drill 8 boreholes in the sub counties of Ludara with a safe water coverage of 87.8%, and Midia 82.6%, Dranya 78.7%, Lobule 68.6%, Kuluba 48.7% targeting all the sub counties including 2 with safe water coverage below the district coverage.the 4th quarter report submitted on 5th July 2017 shows that 7 boreholes were successfully implemented, 1 turned out to be a dry well, 20 borehole rehabilitated and a feasibility study conducted, this evidence shows that the LG water department implemented budgeted water projects with safe water coverage below the district average. ❖❖</p>	15
Monitoring and Supervision			

<p>The LG Water department carries out monthly monitoring and supervision of project investments in the sector</p> <p>Maximum 15 points for this performance measure</p>	<p>Evidence that the LG Water department has monitored each of WSS facilities at least annually. • If more than 95% of the WSS facilities monitored: score 15 • 80 - 95% of the WSS facilities - monitored: score 10 • 70 - 79%: score 7 • 60 - 69% monitored: score 5 • 50 - 59%: score 3 • Less than 50% of WSS facilities monitored -score 0</p>	<p>The monthly monitoring and supervision of project investment records shows that the district water department carried out monitoring and supervision of each of WSS facilities of 60% of the WSS facilities at least annually. ❖ ❖</p>	<p>5</p>
<p>The LG Water department has submitted accurate/consistent reports/data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<p>• Evidence that the LG has submitted accurate/consistent data for the current FY: o List of water facility which are consistent in both sector MIS reports and OBT: score 10</p>	<p>The reports of MIS obtained from MoWE, the performance contracts and the OBT attached to AWP 2017/2018 submitted on 28/6/2017. Highlights expenditure details for work plan 7b, LG function 0981, rural water supply and rehabilitation; There was evidence of inconsistency in workplan, MIS and OBT data</p> <p>Under capital purchase; output 098183 borehole drilling and rehabilitation budgeted for 8 borehole (6 specified and 2 non specified) with total cost of 168,000,000/=. No budget line of deep borehole rehabilitation highlighted.</p> <p>under budget line development;category 2 water supply facilities; 2.4 budgeted for 7 deep boreholes at a cost of 154,000,000, this was contrary to information on output 098183 above. ❖</p> <p>Category 3, rehabilitation of water facilities; 3.1 borehole rehabilitation budgeted for 1 deep borehole at a unit cost of 21, 379,509/= for 1 borehole; this target and budget contradicts the planned 15 boreholes to be rehabilitated, ❖</p> <p>Highlight under function indicator planned output for 2017/2018 narrative summery, the page lists 20 borehole which are for rehabilitation in the annual plans ❖</p> <p>Table 5; procurement plans for 2017/2018, item 6&7 in the annual plans highlights drilling of 8 boreholes and rehabilitation of 10 boreholes. This was an evidence of inconsistency in planning and budgeting for deep borehole drilling and rehabilitation of planned deep boreholes.</p>	<p>0</p>
<p>Procurement and contract management</p>			

<p>The LG Water department has submitted procurement requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has submitted procurement requests to PDU that cover all investment items in the approved Sector annual work plan and budget on time (by April 30): score 4</p>	<p>There was no evidence of submitted water department annual procurement plans in water department, PDU and procurement unit with the technical requirements covering all the investment items in the sector annual work plans and budgets for financial year 2017/2018 during the assessment period.</p>	<p>0</p>
<p>The DWO has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • If the DWO prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan: score 2 	<p>The water department implemented 9 deep boreholes and 7 springs. There was no evidence of appointing contract manager and preparing contract management plan as required to guide the implementation process.</p>	<p>0</p>
<p>The DWO has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • If the DWO prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan: score 2 	<p>During the assessment period, 5 water sources and 5 sanitation facilities were sampled. The evidence shows that the designs for sanitation facilities were available and followed during the construction. However there was no designs for deep borehole surface, to verify the length of drainage channel and if the missing soak pit on every source was on design.</p>	<p>0</p>

<p>The DWO has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • If the DWO prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan: score 2 	<p>During the assessment period, there was evidence of drilling reports and an invoice requesting for payment but no evidence for the contractor handing over all completed WSS facilities to mark the start of counting of defect liability period.</p>	<p>0</p>
<p>The DWO has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • If the DWO prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan: score 2 	<p>There was evidence of DWO certifying payments of completed projects but there was no evidence of filed detailed completion reports❖</p>	<p>0</p>
<p>The DWO has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • If water and sanitation facilities constructed as per design(s): score 2 	<p>The water department implemented 9 deep boreholes and 7 springs. There was no evidence of appointing contract manager and preparing contract management plan as required to guide the implementation process.</p>	<p>0</p>

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<p>The DWO has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • If DWO appropriately certified all WSS projects and prepared and filed completion reports: score 2 	<p>The water department implemented 9 deep boreholes and 7 springs. There was no evidence of appointing contract manager and preparing contract management plan as required to guide the implementation process.</p>	<p>0</p>
<p>The DWO has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • If DWO appropriately certified all WSS projects and prepared and filed completion reports: score 2 	<p>During the assessment period, 5 water sources and 5 sanitation facilities were sampled. The evidence shows that the designs for sanitation facilities were available and followed during the construction. However there was no designs for deep borehole surface, to verify the length of drainage channel and if the missing soak pit on every source was on design.</p>	<p>0</p>

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<p>The DWO has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • If DWO appropriately certified all WSS projects and prepared and filed completion reports: score 2 	<p>There was evidence of DWO certifying payments of completed projects but there was no evidence of filed detailed completion reports</p>	<p>0</p>
<ul style="list-style-type: none"> • Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points 	<ul style="list-style-type: none"> • Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points 	<p>The payments reviewed show that the Water department timely certifies and recommends for suppliers for payment. Examples include: Payment of Shs 127,138,076 to KLR (U) Ltd for drilling 8 boreholes. Invoice 17/05/17, certificate 25/05/17 and payment 19/06/17 (32 days). Payment of Shs 25,659,690 to KLR (U) Ltd for drilling 2 additional boreholes. Invoice 17/05/17, certificate 25/05/17 and payment 19/06/17 (32 days). Payment of Shs 13,819,800 to Gets Technical Services Ltd for borehole sighting. Invoice 27/03/17, certificate 28/03/17 and payment 19/03/17 (23 days), Payment of Shs 13,349,998 to Gates Technical Services for feasibility study of Abuku S/C for solar piped water. Invoice 31/05/17, certificate 21/05/17 and payment 23/06/17 (23 days). Average: 28 days.</p>	<p>3</p>
<p>Financial management and reporting</p>			

<p>The LG Water department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 5 	<p>There was evidence that the water department submitted the annual performance report for FY2016/17 on 27th July, 2017, by Buga Mohammad (Ag. DWO) reflected on page 101 of the annual performance report, contrary to Mid-July deadline</p>	<p>0</p>
<p>LG Water Department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year If sector has no audit query score 5 If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 3 If queries are not responded to score 0 	<p>Water Department had internal audit queries in FY 2016/17. Though effort was made to respond the queries, there are some which remained by the close of the year. For example Quarter 2 report had queries on Shs 1,203,000 accountability for fuel, Shs 3,835,200 accountability for World Water Day T-shirts, Shs 400,000 for a meeting, Shs 1,022,000 for advocacy training in Lobule S/C, Shs 877,000 for Dranya S/C, Shs 1,022,000 for Kuluba S/C. Up to the time of this assessment on 29 and 30/01/2017 no satisfactory response on these accountability had yet been gotten on some of the queries.</p>	<p>0</p>
<p>Governance, oversight, transparency and accountability</p>			
<p>The LG committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the council committee responsible for water met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports and submissions from the District Water and Sanitation Coordination Committee (DWSCC) etc. during the previous FY: score 3 	<p>Minutes of works committee meeting held on 23rd August, 2016 discussed among others MIN03/WCM/08/2016-Presentation of quarter one work plan and budget</p> <ul style="list-style-type: none"> Progress of activities under water department –this review was done output by output in the AWP Looked at the feasibility study and design of pipe water scheme to supply Nyai TC, Support for O&M of district water and sanitation facilities 	<p>3</p>

<p>The LG committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the council committee responsible for water met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports and submissions from the District Water and Sanitation Coordination Committee (DWSCC) etc. during the previous FY: score 3 	<p>There was evidence that the water sector committee under minute 7/COU/5/2017 from the minutes of the 5th sitting of the 1st session of the 3rd council of Koboko district held 23rd May, 2017, recommended the water and roads budget for FY2017/18 for onward council approval</p>	<p>3</p>
<p>The LG committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the water sector committee has presented issues that require approval to Council: score 3 	<p>Minutes of works committee meeting held on 23rd August, 2016 discussed among others MIN03/WCM/08/2016-Presentation of quarter one work plan and budget</p> <ul style="list-style-type: none"> • Progress of activities under water department –this review was done output by output in the AWP • Looked at the feasibility study and design of pipe water scheme to supply Nyai TC, • Support for O&M of district water and sanitation facilities 	<p>3</p>
<p>The LG committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the water sector committee has presented issues that require approval to Council: score 3 	<p>There was evidence that the water sector committee under minute 7/COU/5/2017 from the minutes of the 5th sitting of the 1st session of the 3rd council of Koboko district held 23rd May, 2017, recommended the water and roads budget for FY2017/18 for onward council approval</p>	<p>3</p>

<p>The LG Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> • The AWP, budget and the Water Development grant releases and expenditures have been displayed on the district notice boards as per the PPDA Act and discussed at advocacy meetings: score 2 	<p>During the assessment period, there was evidence of displaying annual work plan, detailed activities and locations, budget limits release and expenditure for water department as per the PPDA Act and evidence of district advocacy meetings of the water sector quarterly progress reports conducted on 24/1/2018.</p>	<p>2</p>
<p>The LG Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> • The AWP, budget and the Water Development grant releases and expenditures have been displayed on the district notice boards as per the PPDA Act and discussed at advocacy meetings: score 2 	<p>During the assessment period, 4 sanitation and 3 water facilities were sampled. It was observed that there was an attempt to label the facilities but not meeting required labelling standards, the sampled sanitation facilities of Longira, Lima, Oraba primary schools and Dranya health centre 11, labelling gaps ranges from lack of proper project name, date of construction, funding name whereas the water sources of Moje-Dricile, Manabu, and Atulenga, name of contractors, funding source were either poorly labelled or missing..</p>	<p>0</p>
<p>The LG Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> • The AWP, budget and the Water Development grant releases and expenditures have been displayed on the district notice boards as per the PPDA Act and discussed at advocacy meetings: score 2 	<p>During the assessment period, there was evidence of sharing information of awarded tenders and contracts for evaluated bidders as per the procurement requirement, the sampled bid for financial year 2017/2018 were displayed on 22/09/2017. This was indicating contractor name /contract and contract sum.</p>	<p>2</p>

<p>The LG Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> • All WSS projects are clearly labelled indicating the name of the project, date of construction, the contractor and source of funding: score 2 	<p>During the assessment period, there was evidence of displaying annual work plan, detailed activities and locations, budget limits release and expenditure for water department as per the PPDA Act and evidence of district advocacy meetings of the water sector quarterly progress reports conducted on 24/1/2018.</p>	<p>2</p>
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<p>The LG Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> • All WSS projects are clearly labelled indicating the name of the project, date of construction, the contractor and source of funding: score 2 	<p>During the assessment period, there was evidence of sharing information of awarded tenders and contracts for evaluated bidders as per the procurement requirement, the sampled bid for financial year 2017/2018 were displayed on 22/09/2017. This was indicating contractor name /contract and contract sum.</p>	<p>2</p>

<p>The LG Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> Information on tenders and contract awards (indicating contractor name /contract and contract sum) displayed on the District notice boards: score 2 	<p>During the assessment period, there was evidence of displaying annual work plan, detailed activities and locations, budget limits release and expenditure for water department as per the PPDA Act and evidence of district advocacy meetings of the water sector quarterly progress reports conducted on 24/1/2018.</p>	<p>2</p>
<p>The LG Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> Information on tenders and contract awards (indicating contractor name /contract and contract sum) displayed on the District notice boards: score 2 	<p>During the assessment period, 4 sanitation and 3 water facilities were sampled. It was observed that there was an attempt to label the facilities but not meeting required labelling standards, the sampled sanitation facilities of Longira, Lima, Oraba primary schools and Dranya health centre 11, labelling gaps ranges from lack of proper project name, date of construction, funding name whereas the water sources of Moje-Dricile, Manabu, and Atulenga, name of contractors, funding source were either poorly labelled or missing..</p>	<p>0</p>
<p>The LG Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> Information on tenders and contract awards (indicating contractor name /contract and contract sum) displayed on the District notice boards: score 2 	<p>During the assessment period, there was evidence of sharing information of awarded tenders and contracts for evaluated bidders as per the procurement requirement, the sampled bid for financial year 2017/2018 were displayed on 22/09/2017. This was indicating contractor name /contract and contract sum.</p>	<p>2</p>

<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • If communities apply for water/public sanitation facilities as per the sector critical requirements (including community contributions) for the current FY: score 1 	<p>The government recommends community co funding of water sources and sanitation facilities for O &M. The application form found in DWO in case of offer highlights co funding contribution of 200,000/= for a new deep borehole to be paid to district general account within 3 months of offer and 90,000/= for deep borehole repair/rehabilitation and among others. The district council approved budgets to drill 7 deep boreholes, public toilets, 1 piped water and 4 springs. During the assessment period, only Angule community applied for water source and paid 90,000/= with receipt#00168008, and Chakulia primary school paid co funding of 100,000/= receipt # 00168002</p>	<p>0</p>
<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • If communities apply for water/public sanitation facilities as per the sector critical requirements (including community contributions) for the current FY: score 1 	<p>During the assessment period, there was evidence of established water source committees. However, no evidence of collection of O&M funds for carrying out preventive maintenance and minor repairs.</p>	<p>0</p>
<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Number of water supply facilities with WSCs that are functioning evidenced by collection of O&M funds and carrying out preventive maintenance and minor repairs, for the current FY: score 2 	<p>The government recommends community co funding of water sources and sanitation facilities for O &M. The application form found in DWO in case of offer highlights co funding contribution of 200,000/= for a new deep borehole to be paid to district general account within 3 months of offer and 90,000/= for deep borehole repair/rehabilitation and among others. The district council approved budgets to drill 7 deep boreholes, public toilets, 1 piped water and 4 springs. During the assessment period, only Angule community applied for water source and paid 90,000/= with receipt#00168008, and Chakulia primary school paid co funding of 100,000/= receipt # 00168002</p>	<p>0</p>
<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Number of water supply facilities with WSCs that are functioning evidenced by collection of O&M funds and carrying out preventive maintenance and minor repairs, for the current FY: score 2 	<p>During the assessment period, there was evidence of established water source committees. However, no evidence of collection of O&M funds for carrying out preventive maintenance and minor repairs.</p>	<p>0</p>

Social and environmental safeguards			
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that environmental screening (as per templates) for all projects and EIAs (where required) conducted for all WSS projects and reports are in place: score 2 	<p>Guidelines for environmental impact assessment in Uganda (July 1997), page 45, provides a checklist for environment and social screening of small and big projects. During the assessment period, there was no evidence of any project environment mitigation plan and social screening assessment report on record. ❖</p>	<p>0</p>
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that environmental screening (as per templates) for all projects and EIAs (where required) conducted for all WSS projects and reports are in place: score 2 	<p>There was no evidence of environmental mitigation plan and recommendations that would require follow up support in case of unacceptable environmental concerns.</p>	<p>0</p>
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that environmental screening (as per templates) for all projects and EIAs (where required) conducted for all WSS projects and reports are in place: score 2 	<p>There was no evidence of environmental clause on environmental protection for construction and supervision contracts</p>	<p>0</p>

<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that there has been follow up support provided in case of unacceptable environmental concerns in the past FY: score 1 	<p>Guidelines for environmental impact assessment in Uganda (July 1997), page 45, provides a checklist for environment and social screening of small and big projects. During the assessment period, there was no evidence of any project environment mitigation plan and social screening assessment report on record. ❖</p>	<p>0</p>
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that there has been follow up support provided in case of unacceptable environmental concerns in the past FY: score 1 	<p>There was no evidence of environmental mitigation plan and recommendations that would require follow up support in case of unacceptable environmental concerns.</p>	<p>0</p>
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that there has been follow up support provided in case of unacceptable environmental concerns in the past FY: score 1 	<p>There was no evidence of environmental clause on environmental protection for construction and supervision contracts</p>	<p>0</p>

<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that construction and supervision contracts have clause on environmental protection: score 1 	<p>Guidelines for environmental impact assessment in Uganda (July 1997), page 45, provides a checklist for environment and social screening of small and big projects. During the assessment period, there was no evidence of any project environment mitigation plan and social screening assessment report on record. ❖</p>	<p>0</p>
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that construction and supervision contracts have clause on environmental protection: score 1 	<p>There was no evidence of environmental mitigation plan and recommendations that would require follow up support in case of unacceptable environmental concerns.</p>	<p>0</p>
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that construction and supervision contracts have clause on environmental protection: score 1 	<p>There was no evidence of environmental clause on environmental protection for construction and supervision contracts</p>	<p>0</p>

<p>The LG Water department has promoted gender equity in WSC composition.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • If at least 50% WSCs are women as per the sector critical requirements: score 3 	<p>The 5 sampled WSCs are composed of 7 members, it was established that water source committees of Moje water source are 7(3female, 4 Male), Lemekora, 7(3 female, 4 Male), Manabu, 7 (4 female, 3 male), Atulenga, 7 (4 female, 3 male) Toligamujo, 7 (4 female, 3 male) are composed of 50% women. This was evidence that at least 50% water source committees are composed of women which is promoting gender equity.</p>	<p>3</p>
<p>Gender- and special-needs sensitive sanitation facilities in public places/RGCs.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • If public sanitation facilities have adequate access and separate stances for men, women and PWDs: score 3 	<p>The 4 sampled toilet facilities were inspected during the assessment period. it was observed that ramps to ease access of people with disabilities were constructed on 2 toilet facilities at Lima and Longira primary schools whereas Oraba primary school the door blocks free entry while using the ramp whereas Dranya health centre door step prevents free entry. Separate stances for men, women were available but not marked based on gender, making it difficult to identify the true facility for women or men.</p>	<p>0</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>LG has substantively recruited primary health workers with a wage bill provision from PHC wage</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that LG has filled the structure for primary health workers with a wage bill provision from PHC wage for the current FY</p> <ul style="list-style-type: none"> • More than 80% filled: score 6 points, • 60 – 80% - score 3 • Less than 60% filled: score 0 	<p>Approved structure FY2017/18 had a total of 356 health staffs while the current staffs on payroll are 184 giving 51.6%</p> <p>A copy of an advert by Koboko DLG Service Commission dated 18/1/2018 was seen at the District Notice board with Health positions being advertised. The process of recruitment is ongoing.</p>	0
<p>The LG Health department has submitted a comprehensive recruitment plan to the HRM department</p> <p>Maximum 4 points for this performance measure</p>	<p>Evidence that Health department has submitted a comprehensive recruitment plan/request to HRM for the current FY, covering the vacant positions of health workers: score 4</p>	<p>A copy of Recruitment plan submitted to PS Ministry of Public Service by the CAO Koboko DLG for the FY 2016/17 and 2017/18 dated 22/9/2016 and received by MoPS 12/10/2016 was seen at the HR office.</p> <p>A copy of an advert by Koboko DLG Service Commission dated 18/1/2018 was seen at the District Notice board with Health positions being advertised. The process of recruitment was ongoing.</p>	4
<p>The LG Health department has ensured that performance appraisal for health facility in charge is conducted</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that the health facility in-charge have been appraised during the previous FY:</p> <ul style="list-style-type: none"> o 100%: score 8 o 70 – 99%: score 4 o Below 70%: score 0 	<ul style="list-style-type: none"> • 100% of Appraisal personal files for health facility in-charges were appraised and signed by all the supervisors as seen at HRs office. 	8

<p>The Local Government Health department has equitably deployed health workers across health facilities and in accordance with the staff lists submitted together with the budget in the current FY.</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Health department has deployed health workers equitably, in line with the lists submitted with the budget for the current FY: score 4 	<p>A copy of the updated staff lists (October 2017) matched with that of the budget framework FY 17/18 (OBT) as seen at the DHOs office.</p>	<p>4</p>
<p>Monitoring and Supervision</p>			
<p>The DHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the DHO has communicated all guidelines, policies, circulars issued by the national level in the previous FY to health facilities: score 3 	<ul style="list-style-type: none"> • Copies of the UCG guidelines, IMNCI, Consolidated HIV guidelines 2016, circular from MoPS on dressing code for non-uniformed staff, MoH circular on ARVs and roll out of Consolidated Guidelines were seen at selected Health facilities (Ludara HC III, Kuluba HC III, Ayipe HC III, and Oraba HC II. <p>A copy of invitation letter for in-charges by the DHO on HPV guidelines dated 12/6/2017 was seen at DHOs office</p>	<p>3</p>
<p>The DHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the DHO has communicated all guidelines, policies, circulars issued by the national level in the previous FY to health facilities: score 3 	<p>There were no minutes showing such meetings to have taken place at the DHOs office despite having seen an invitation calling in-charges for orientation on one of the guidelines.</p>	<p>0</p>

<p>The DHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the DHO has held meetings with health facility in-charges and among others explained the guidelines, policies, circulars issued by the national level: score 3 	<ul style="list-style-type: none"> • Copies of the UCG guidelines, IMNCI, Consolidated HIV guidelines 2016, circular from MoPS on dressing code for non-uniformed staff, MoH circular on ARVs and roll out of Consolidated Guidelines were seen at selected Health facilities (Ludara HC III, Kuluba HC III, Ayipe HC III, and Oraba HC II. <p>A copy of invitation letter for in-charges by the DHO on HPV guidelines dated 12/6/2017 was seen at DHOs office</p>	<p>3</p>
<p>The DHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the DHO has held meetings with health facility in-charges and among others explained the guidelines, policies, circulars issued by the national level: score 3 	<p>There were no minutes showing such meetings to have taken place at the DHOs office despite having seen an invitation calling in-charges for orientation on one of the guidelines.</p>	<p>0</p>
<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT has supervised lower level health facilities within the previous FY: • If 100% supervised: score 3 points • 80 - 99% of the health facilities: score 2 • 60 - 79% of the health facilities: score 1 • Less than 60% of the health facilities: score 0</p>	<ul style="list-style-type: none"> • Copy of Integrated support supervision report seen from the DHOs office dated 3/01/2017 indicated that DHT supervised 68% of the health facilities. ♦ 	<p>1</p>

<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT has supervised lower level health facilities within the previous FY: • If 100% supervised: score 3 points • 80 - 99% of the health facilities: score 2 • 60 - 79% of the health facilities: score 1 • Less than 60% of the health facilities: score 0</p>	<p>◆Reports on integrated support supervision by the DHT available at DHOs office dated 29/11/2016, 03/01/2017) showed that the Hospital was supervised.</p> <ul style="list-style-type: none"> • Supervision reports in the supervision log book for Koboko Hospital showed the DHT conducted supervisions on different dates (6/8/2016, 25/08/2017). • Koboko District doesn't have a District HCIV currently after having upgraded Koboko HC IV into a District Hospital 	<p>3</p>
<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT has supervised 100% of HC IVs and district hospitals: score 3</p>	<ul style="list-style-type: none"> • Copy of Integrated support supervision report seen from the DHOs office dated 3/01/2017 indicated that DHT supervised 68% of the health facilities.◆ 	<p>1</p>
<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT has supervised 100% of HC IVs and district hospitals: score 3</p>	<p>◆Reports on integrated support supervision by the DHT available at DHOs office dated 29/11/2016, 03/01/2017) showed that the Hospital was supervised.</p> <ul style="list-style-type: none"> • Supervision reports in the supervision log book for Koboko Hospital showed the DHT conducted supervisions on different dates (6/8/2016, 25/08/2017). • Koboko District doesn't have a District HCIV currently after having upgraded Koboko HC IV into a District Hospital 	<p>3</p>

<p>The Health Sub-district(s) have effectively provided support supervision to lower level health units</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that health facilities have been supervised by HSD and reports produced: • If 100% supervised score 6 points • 80 - 99% of the health facilities: score 4 • 60 - 79% of the health facilities: score 2 • Less than 60% of the health facilities: score 0</p>	<p>Supervision report 1st quarter availed at HSD In-charge office (Koboko Hospital) showed that 10 out of 16 (62.5) facilities in their catchment area were reached</p>	<p>2</p>
<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<p>• Evidence that the reports have been discussed and used to make recommendations for corrective actions during the previous FY: score 4</p>	<p>Copies of the DHT/ HSD supervisions reports, performance review meeting minutes indicated recommendations generated by the supervising teams and actions to be taken as seen at DHO and HSD offices.</p> <p>Supervision log books in selected health facilities also indicated recommendations generated by the supervising teams.</p>	<p>4</p>
<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<p>• Evidence that the reports have been discussed and used to make recommendations for corrective actions during the previous FY: score 4</p>	<p>• DHT integrated support supervision report dated Jan 3rd 2017 came out with recommendations for lighting of maternity wards at facilities (Ludara, Gbokolongo HC IIIs) and solar lights have been installed as a result of this recommendation.◆</p>	<p>6</p>

<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the recommendations are followed – up and specific activities undertaken for correction: score 6 	<p>Copies of the DHT/ HSD supervisions reports, performance review meeting minutes indicated recommendations generated by the supervising teams and actions to be taken as seen at DHO and HSD offices.</p> <p>Supervision log books in selected health facilities also indicated recommendations generated by the supervising teams.</p>	<p>4</p>
<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the recommendations are followed – up and specific activities undertaken for correction: score 6 	<ul style="list-style-type: none"> • DHT integrated support supervision report dated Jan 3rd 2017 came out with recommendations for lighting of maternity wards at facilities (Ludara, Gbokolongo HC IIIs) and solar lights have been installed as a result of this recommendation. ❖ 	<p>6</p>
<p>The LG Health department has submitted accurate/consistent reports/date for health facility lists as per formats provided by MoH</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data regarding: <ul style="list-style-type: none"> o List of health facilities which are consistent with both HMIS reports and OBT: score 10 	<ul style="list-style-type: none"> • A copy of the list of Health facilities at the DHOs office consistently submitting HMIS to the DHO/MOH were the same as those in OBT. ❖❖ 	<p>10</p>

Governance, oversight, transparency and accountability

<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<p>• Evidence that the council committee responsible for health met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports etc. during the previous FY: score 2</p>	<p>Social services committee meeting held on 24th February, 2017 in the health board room under min 3- Progress report by DHO and adaptation of focal point person,</p> <ul style="list-style-type: none"> ◆ gave a brief of health related issues such as; . ◆ The contribution of development partners like Concern Worldwide, IDI,, Baylor in HIV/AIDs related activities ◆ ◆ Performance of the various HCs and challenges faced ◆ ◆ Meeting of the SSC held on 8/11/2016 discussed the health sector performance as presented by DHO (Min7), but among the issues discussed included <ul style="list-style-type: none"> • Few staffs in the department hence heavy workload to existing members◆ • Late reporting for duty by staff especially in Ludara HC111 • Poor hygiene and sanitation situation in the district • Delayed releases of funds due to IFMS system also causes delay in implementation • Need to upgrade Pijoke HC11 to HC111 so as to allow access of ART services 	<p>2</p>
<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<p>• Evidence that the council committee responsible for health met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports etc. during the previous FY: score 2</p>	<p>Social services committee recommendation Min 07/COU/11/16 were;</p> <ul style="list-style-type: none"> • KMC◆ to allocate funds to process land title for Koboko hospital land • The new constituencies of Koboko North and Koboko county be elevated to HC1V and hospital respectively 	<p>2</p>

<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the health sector committee has presented issues that require approval to Council: score 2 	<p>Social services committee meeting held on 24th February, 2017 in the health board room under min 3- Progress report by DHO and adaptation of focal point person,</p> <ul style="list-style-type: none"> ◆ gave a brief of health related issues such as; . ◆ The contribution of development partners like Concern Worldwide, IDI,, Baylor in HIV/AIDs related activities ◆ ◆ Performance of the various HCs and challenges faced ◆ ◆ Meeting of the SSC held on 8/11/2016 discussed the health sector performance as presented by DHO (Min7), but among the issues discussed included <ul style="list-style-type: none"> • Few staffs in the department hence heavy workload to existing members◆ • Late reporting for duty by staff especially in Ludara HC111 • Poor hygiene and sanitation situation in the district • Delayed releases of funds due to IFMS system also causes delay in implementation • Need to upgrade Pijoke HC11 to HC111 so as to allow access of ART services 	<p>2</p>
<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the health sector committee has presented issues that require approval to Council: score 2 	<p>Social services committee recommendation Min 07/COU/11/16 were;</p> <ul style="list-style-type: none"> • KMC◆ to allocate funds to process land title for Koboko hospital land • The new constituencies of Koboko North and Koboko county be elevated to HC1V and hospital respectively 	<p>2</p>

<p>The Health Unit Management Committees and Hospital Board are operational/functioning</p> <p>Maximum 5 points</p>	<p>Evidence that health facilities and Hospitals have functional HUMCs/Boards (established, meetings held and discussions of budget and resource issues):</p> <ul style="list-style-type: none"> • If 100% of randomly sampled facilities: score 5 • If 80-99% : score 3 • If 70-79%: : score 1 • If less than 70%: score 0 	<p>Copies of HUMC meeting minutes were availed at the selected health facilities (100%)</p> <p>Copies of HUMC members' lists were well displayed in the selected facilities (100%).</p>	<p>5</p>
<p>The LG has publicised all health facilities receiving PHC non-wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has publicised all health facilities receiving PHC non-wage recurrent grants e.g. through posting on public notice boards: score 3 	<ul style="list-style-type: none"> • Copy of PHC fund distribution list of health facilities were properly displayed on noticeboard of DHO. 	<p>3</p>
<p>Procurement and contract management</p>			
<p>The LG Health department has submitted procurement requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has submitted procurement requests to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30 for the current FY: score 2 	<p>A Copy of an email to the District Planner from DHOs with the procurement Plan request attached was seen at Planning unit dated 16/03/2017.</p>	<p>2</p>

<p>The LG Health department has submitted procurement requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has submitted procurement requests to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30 for the current FY: score 2 	<p>A Copy of procurement request to DPU from DHOs office was availed dated 26/07/ 2017 for the purchase of solar panels</p>	<p>2</p>
<p>The LG Health department has submitted procurement requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 2</p>	<p>A Copy of an email to the District Planner from DHOs with the procurement Plan request attached was seen at Planning unit dated 16/03/2017.</p>	<p>2</p>
<p>The LG Health department has submitted procurement requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 2</p>	<p>A Copy of procurement request to DPU from DHOs office was availed dated 26/07/ 2017 for the purchase of solar panels</p>	<p>2</p>

<p>The LG Health department has supported all health facilities to submit health supplies procurement plan to NMS</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Health department has supported all health facilities to submit health supplies procurement plan to NMS on time: • 100% - score 8 • 70-99% – score 4 • Below 70% - score 0 	<p>A copy of budget allocations for all health facilities for FY 16/17 from NMS to CAO Koboko was availed at DHOs office dated July 1st 2016. Ref. NMS 22/6</p>	<p>8</p>
<p>The LG Health department has certified and initiated payment for supplies on time</p> <p>Maximum 2 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the DHO (as per contract) certified and recommended suppliers timely for payment: score 2 points 	<p>The payments reviewed showed that the Health department timely certified and recommended for suppliers for payment. Examples included: Payment of Shs 71,668,539 to Abude Construction for construction of OPD at Kuluba HC II. Invoice dated 09/05/17, certification on 08/05/17 and payment on 13/06/17 (35 days). Payment of Shs 2,000,000 to Junior Achievers for construction of kitchens at Pijoke and Tichile HC IIs. Invoiced on 03/04/17, certification on 03/04/17 and payment on 26/05/17 (54 days). Payment of Shs 151,205,850 for construction of a general maternity ward at Kuluba HC II. Invoicing on 29/12/17, certification on 28/12/17 and payment on 29/12/17 (0 days). Payment of Shs 28,431,693 to Big B General Stores for completion of Pijuke HC II fencing. Invoice dated 21/12/17, certificate 15/12/17 and payment on 28/12/17 (7 days). Average: 24 days.</p>	<p>2</p>
<p>Financial management and reporting</p>			

<p>The LG Health department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 4 	<ul style="list-style-type: none"> • There was evidence that the health department submitted the annual performance report for FY2016/17 on 27th July, 2017, and submitted by Kenyi Santus (Ag. DHO) reflected on page 90 of the annual performance report, contrary to Mid-July deadline 	<p>0</p>
<p>LG Health department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year</p> <ul style="list-style-type: none"> • If sector has no audit query score 4 • If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2 points • If all queries are not responded to score 0 	<p>Health Department had internal audit queries in FY 2016/17. Though effort was made to respond to the queries, there were some which remained by the close of the year. For example Quarter 1 report had queries on Shs 830,000 money advanced for a meeting with Water and Sanitation Committee (Page 15). This query was later cleared. Quarter 2 had a query on Shs 44,047,595 as a total of outstanding accountabilities. Up to the time of this assessment on 29 and 30/01/2017 no satisfactory response on these accountabilities had yet been gotten on this figur.</p>	<p>2</p>
<p>Social and environmental safeguards</p>			
<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> • Evidence that Health Unit Management Committee (HUMC) meet the gender composition as per guidelines: score 2 	<p>Copies of HUMC members list displayed in selected health facilities met the gender composition of both female and males.</p>	<p>2</p>

<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> • Evidence that Health Unit Management Committee (HUMC) meet the gender composition as per guidelines: score 2 	<ul style="list-style-type: none"> • NO Copies of sanitation management guidelines were seen at the selected health units (Koboko Hospital, Kuluba HC II, Ayepi HC III, Oraba HC II, Ludala HC III,and Dricile HC III) even at DHOs office. 	<p>0</p>
<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> • Evidence that the LG has issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women: score 2 	<p>Copies of HUMC members list displayed in selected health facilities met the gender composition of both female and males.</p>	<p>2</p>
<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> • Evidence that the LG has issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women: score 2 	<ul style="list-style-type: none"> • NO Copies of sanitation management guidelines were seen at the selected health units (Koboko Hospital, Kuluba HC II, Ayepi HC III, Oraba HC II, Ludala HC III,and Dricile HC III) even at DHOs office. 	<p>0</p>
<p>The LG Health department has issued guidelines on medical waste management</p> <p>Maximum 2 points</p>	<ul style="list-style-type: none"> • Evidence that the LGs has issued guidelines on medical waste management, including guidelines for construction of facilities for medical waste disposal : score 2 points. 	<ul style="list-style-type: none"> • No Copies of guidelines on medical waste management were seen in all the selected health facilities apart from Charts for segregation of Medical waste 	<p>0</p>

563 Koboko District Educational Performance Measures

Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management			
<p>The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<p>• Evidence that the LG has budgeted for a Head Teacher and minimum of 7 teachers per school (or minimum a teacher per class for schools with less than P.7) for the current FY: score 4</p>	<p>Vote 563 Koboko district OBT FY17/18 has a wage bill of UGX 5,234,595,000 for 537 Teachers and 16 Head teachers at the 58 government schools.</p>	4
<p>The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<p>• Evidence that the LG has budgeted for a Head Teacher and minimum of 7 teachers per school (or minimum a teacher per class for schools with less than P.7) for the current FY: score 4</p>	<p>The DEO has a school staff list deployment schedule for 537 teachers and 16 Head teachers as per “Teachers’ Information File 2017 Koboko District Local Government Education and Sports, FY17/18” on file in the DEO’ Office.</p>	4

<p>The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has deployed a Head Teacher and minimum of 7 teachers per school for the current FY: score 4 	<p>Vote 563 Koboko district OBT FY17/18 has a wage bill of UGX 5,234,595,000 for 537 Teachers and 16 Head teachers at the 58 government schools.</p>	<p>4</p>
<p>The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has deployed a Head Teacher and minimum of 7 teachers per school for the current FY: score 4 	<p>The DEO has a school staff list deployment schedule for 537 teachers and 16 Head teachers as per “Teachers’ Information File 2017 Koboko District Local Government Education and Sports, FY17/18” on file in the DEO’ Office.</p>	<p>4</p>
<p>LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has filled the structure for primary teachers with a wage bill provision o If 100% score 6 o If 80 - 99% score 3 o If below 80% score 0 	<p>The UGX 5,234,595,000 OBT budget provision for the entire 537 teachers and their 16 Head teachers in FY17/18 (i.e. 100%) has catered for the minimum staffing level in the structure of a Head teacher and minimum 7 Teachers.</p>	<p>6</p>

<p>LG has substantively recruited all positions of school inspectors as per staff structure, where there is a wage bill provision.</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has substantively filled all positions of school inspectors as per staff structure, where there is a wage bill provision: score 6 	<p>The district approved structure has 3 positions and only 1 has been duly filled as per individual file at Central Registry. The short-listing for filling the position of the second school inspector has been drawn. One position remains vacant.</p> <p>A recruitment process for the second school inspector was underway.</p>	<p>0</p>
<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of Primary Teachers: score 2</p>	<p>There was a department recruitment plan that covers both the Primary Teachers and School Inspectors on file and sent to HRM department from the Education reference 2016/17; plan 1/1/1 Education. ♦</p>	<p>2</p>
<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of Primary Teachers: score 2</p>	<p>There was a department recruitment plan that covers both the Primary Teachers and School Inspectors on file and sent to HRM department from the Education reference 2016/17; plan 1/1/1 Education. ♦</p>	<p>2</p>

<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of School Inspectors: score 2</p>	<p>There was a department recruitment plan that covers both the Primary Teachers and School Inspectors on file and sent to HRM department from the Education reference 2016/17; plan 1/1/1 Education.◆◆</p>	<p>2</p>
<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of School Inspectors: score 2</p>	<p>There was a department recruitment plan that covers both the Primary Teachers and School Inspectors on file and sent to HRM department from the Education reference 2016/17; plan 1/1/1 Education.◆◆</p>	<p>2</p>

<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department appraised school inspectors during the previous FY • 100% school inspectors: score 3</p>	<p>The only one schools inspector had been appraised (The structure provides for 3 schools inspectors).</p>	<p>3</p>
<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department appraised school inspectors during the previous FY • 100% school inspectors: score 3</p>	<p>All the 58 primary school head teachers had been appraised, though most are in acting capacity.</p>	<p>3</p>


<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department appraised head teachers during the previous FY. • 90% - 100%: score 3 • 70% - 89%: score 2 • Below 70%: score 0</p>	<p>The only one schools inspector had been appraised (The structure provides for 3 schools inspectors).</p>	<p>3</p>
<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department appraised head teachers during the previous FY. • 90% - 100%: score 3 • 70% - 89%: score 2 • Below 70%: score 0</p>	<p>All the 58 primary school head teachers had been appraised, though most are in acting capacity.</p>	<p>3</p>
<p>Monitoring and Inspection</p>			

<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<p>• Evidence that the LG Education department has communicated all guidelines, policies, circulars issued by the national level in the previous FY to schools: score 1</p>	<p>There was evidence that the national level instructions were communicated to schools all guidelines, policies, circulars issued by the national level as per Ref: Edu/12/2017 dated 14 April 2017.</p>	<p>1</p>
<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<p>• Evidence that the LG Education department has communicated all guidelines, policies, circulars issued by the national level in the previous FY to schools: score 1</p>	<p>There was evidence on specific records during the joint meetings with education department officials on agenda items regarding dissemination of guidelines, policies and circulars issued by the central government, notably:</p> <ul style="list-style-type: none"> -Meeting on 3rd Nov 2016 Ref: Edu/09/2016 with government aided primary schools Head teachers – Minute 11 on School feeding -Head teachers’ meeting with Education Department and NIRA officials dated 16th June 2017 -Meeting on 11 December 2017 with Head teachers at Kuluba sub-county on School feeding programme 	<p>2</p>

<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has held meetings with primary school head teachers and among others explained and sensitised on the guidelines, policies, circulars issued by the national level, including on school feeding: score 2 	<p>There was evidence that the national level instructions were communicated to schools all guidelines, policies, circulars issued by the national level as per Ref: Edu/12/2017 dated 14 April 2017.</p>	<p>1</p>
<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has held meetings with primary school head teachers and among others explained and sensitised on the guidelines, policies, circulars issued by the national level, including on school feeding: score 2 	<p>There was evidence on specific records during the joint meetings with education department officials on agenda items regarding dissemination of guidelines, policies and circulars issued by the central government, notably:</p> <ul style="list-style-type: none"> -Meeting on 3rd Nov 2016 Ref: Edu/09/2016 with government aided primary schools Head teachers – Minute 11 on School feeding -Head teachers’ meeting with Education Department and NIRA officials dated 16th June 2017 -Meeting on 11 December 2017 with Head teachers at Kuluba sub-county on School feeding programme 	<p>2</p>
<p>The LG Education Department has effectively inspected all private and public primary schools</p> <p>Maximum 12 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all private and public primary schools have been inspected at least once per term and reports produced: o 100% - score 12 o 90 to 99% - score 10 o 80 to 89% - score 8 o 70 to 79% - score 6 o 60 to 69% - score 3 o 50 to 59% score 1 o Below 50% score 0. 	<p>Only 2 inspections were conducted during a term year on the 70 primary schools (58 government aide; 10 community, and 2 private).</p> <p>There was no inspection in 3rd quarters file had “This quarter there is no release for Education PAF NWR for Inspection, UPE, USE and Koboko Community Polytechnic School”</p>	<p>3</p>

<p>LG Education department has discussed the results/reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the Education department has discussed school inspection reports and used reports to make recommendations for corrective actions during the previous FY: score 4 	<p>The Education Department held a “meeting with government headed Primary School Head teachers on 3rd November 2016 at District Hall, Ref: Edu/09/2016 on 3rd November 2016 which covered internal supervision, among other items.</p>	<p>4</p>
<p>LG Education department has discussed the results/reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the Education department has discussed school inspection reports and used reports to make recommendations for corrective actions during the previous FY: score 4 	<p>The DES acknowledged receipts of Inspection Reports as follows:</p> <ul style="list-style-type: none"> -1st Quarter Inspection report on 31st May 2017 -3rd and 4th Quarter Inspection reports on 25th August 2016 -There was no evidence of 2nd Quarter Inspection Report submission to the DES in either Gulu regional office or Kampala 	<p>0</p>

<p>LG Education department has discussed the results/reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the Education department has discussed school inspection reports and used reports to make recommendations for corrective actions during the previous FY: score 4 	<p>There was evidence of the inspection recommendations having been followed up as per Ref: Edu/09/2016 dated 3rd November 2016, i.e. Joint Action Plan on Internal Supervision. ♦</p>	<p>4</p>
<p>LG Education department has discussed the results/reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 	<p>The Education Department held a “meeting with government headed Primary School Head teachers on 3rd November 2016 at District Hall, Ref: Edu/09/2016 on 3rd November 2016 which covered internal supervision, among other items.</p>	<p>4</p>

<p>LG Education department has discussed the results/reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 	<p>The DES acknowledged receipts of Inspection Reports as follows:</p> <ul style="list-style-type: none"> -1st Quarter Inspection report on 31st May 2017 -3rd and 4th Quarter Inspection reports on 25th August 2016 -There was no evidence of 2nd Quarter Inspection Report submission to the DES in either Gulu regional office or Kampala 	<p>0</p>
<p>LG Education department has discussed the results/reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 	<p>There was evidence of the inspection recommendations having been followed up as per Ref: Edu/09/2016 dated 3rd November 2016, i.e. Joint Action Plan on Internal Supervision. </p>	<p>4</p>

<p>LG Education department has discussed the results/reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the inspection recommendations are followed-up: score 4 	<p>The Education Department held a “meeting with government headed Primary School Head teachers on 3rd November 2016 at District Hall, Ref: Edu/09/2016 on 3rd November 2016 which covered internal supervision, among other items.</p>	<p>4</p>
<p>LG Education department has discussed the results/reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the inspection recommendations are followed-up: score 4 	<p>The DES acknowledged receipts of Inspection Reports as follows:</p> <ul style="list-style-type: none"> -1st Quarter Inspection report on 31st May 2017 -3rd and 4th Quarter Inspection reports on 25th August 2016 -There was no evidence of 2nd Quarter Inspection Report submission to the DES in either Gulu regional office or Kampala 	<p>0</p>

<p>LG Education department has discussed the results/reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the inspection recommendations are followed-up: score 4 	<p>There was evidence of the inspection recommendations having been followed up as per Ref: Edu/09/2016 dated 3rd November 2016, i.e. Joint Action Plan on Internal Supervision. ♦</p>	<p>4</p>
<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data: <ul style="list-style-type: none"> o List of schools which are consistent with both EMIS reports and OBT: score 5 	<ul style="list-style-type: none"> • EMIS (2017) list of schools data stood at 70 government-aided and private primary schools (58 government aided; 10 community, and 2 private). • OBT list of schools data stood at 58 government aided primary schools in FY2017/18 . 	<p>5</p>
<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data: <ul style="list-style-type: none"> o List of schools which are consistent with both EMIS reports and OBT: score 5 	<ul style="list-style-type: none"> • EMIS enrolment data stood at 52,242 pupils FY2017/18 • OBT enrolment data stood at 47,239 pupils in FY2017/18 due to the additional private schools who do not receive capitation grants but report on enrolment for EMIS. 	<p>5</p>

<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<p>Evidence that the LG has submitted accurate/consistent data: • Enrolment data for all schools which is consistent with EMIS report and OBT: score 5</p>	<ul style="list-style-type: none"> • EMIS (2017) list of schools data stood at 70 government-aided and private primary schools (58 government aided; 10 community, and 2 private). • OBT list of schools data stood at 58 government aided primary schools in FY2017/18 . 	5
<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<p>Evidence that the LG has submitted accurate/consistent data: • Enrolment data for all schools which is consistent with EMIS report and OBT: score 5</p>	<ul style="list-style-type: none"> • EMIS enrolment data stood at 52,242 pupils FY2017/18 • OBT enrolment data stood at 47,239 pupils in FY2017/18 due to the additional private schools who do not receive capitation grants but report on enrolment for EMIS. 	5
<p>Governance, oversight, transparency and accountability</p>			
<p>The LG committee responsible for education met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the council committee responsible for education met and discussed service delivery issues including inspection, performance assessment results, LG PAC reports etc... during the previous FY: score 2 	<p>The education committee discussed the issue of government aided primary schools in Koboko buying termly assessment tests, implying that the teachers do not set their own assessment tests.</p> <p>The committee therefore in their meeting held on 2nd May,2017, recommended that effective second term 2017, all termly assessment tests for P.3-P.6 be set by the respective schools and to be run in the DEO's office using the Riso-grapher machine of the district which was bought for that purpose. This is expected to improve the quality of education in the district.</p>	2

<p>The LG committee responsible for education met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the council committee responsible for education met and discussed service delivery issues including inspection, performance assessment results, LG PAC reports etc... during the previous FY: score 2 	<p>Recommendation among others of the local education committee to council dated 5/5/2017 Ref: EDU/16/2017</p> <ul style="list-style-type: none"> • Koboko district received 6 scholarship slots for entry to KIU for 2017/18, the committee recommended 3 students, viz Aliga Raymond, Tabu Wawa and Atiki Isaac • In order to address the issue of depending on primary head teachers association for co-curricular activities, the education committee recommended for a contribution of 500 shillings per child effective February 2018 (From the education committee meeting held on 2nd May. 2017) 	<p>2</p>
<p>The LG committee responsible for education met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the education sector committee has presented issues that requires approval to Council: score 2 	<p>The education committee discussed the issue of government aided primary schools in Koboko buying termly assessment tests, implying that the teachers do not set their own assessment tests.</p> <p>The committee therefore in their meeting held on 2nd May,2017, recommended that effective second term 2017, all termly assessment tests for P.3-P.6 be set by the respective schools and to be run in the DEO's office using the Riso-grapher machine of the district which was bought for that purpose. This is expected to improve the quality of education in the district.</p>	<p>2</p>
<p>The LG committee responsible for education met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the education sector committee has presented issues that requires approval to Council: score 2 	<p>Recommendation among others of the local education committee to council dated 5/5/2017 Ref: EDU/16/2017</p> <ul style="list-style-type: none"> • Koboko district received 6 scholarship slots for entry to KIU for 2017/18, the committee recommended 3 students, viz Aliga Raymond, Tabu Wawa and Atiki Isaac • In order to address the issue of depending on primary head teachers association for co-curricular activities, the education committee recommended for a contribution of 500 shillings per child effective February 2018 (From the education committee meeting held on 2nd May. 2017) 	<p>2</p>

<p>Primary schools in a LG have functional SMCs</p> <p>Maximum 5 for this performance measure</p>	<p>Evidence that all primary schools have functional SMCs (established, meetings held, discussions of budget and resource issues and submission of reports to DEO) • 100% schools: score 5 • 80 to 99% schools: score 3 • Below 80% schools: score 0</p>	<p>There was evidence that all the 70 schools (58 government aided, 10 community schools, 2 private) have established SMCs as per regulation as evidenced by “List of SMCs for approval for 2016 by DEO letter Edu/36/2016 dated 15 August 2017.</p>	<p>5</p>
<p>The LG has publicised all schools receiving non-wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<p>• Evidence that the LG has publicised all schools receiving non-wage recurrent grants e.g. through posting on public notice boards: score 3</p>	<p>There was evidence that the Education department had published and displayed list of all schools receiving non-wage recurrent grants during FY16/17 as per the District Notice Board and the file copy of the display sheets. ❖❖</p>	<p>3</p>
<p>Procurement and contract management</p>			
<p>The LG Education department has submitted procurement requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>• Evidence that the sector has submitted procurement requests to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30: score 4</p>	<p>There was evidence that the Education department prepared and submitted all investment items in the approved sector annual work-plans and all were submitted before 30th April 2017 as per FY16/17 AWP – procurement requisitions files:</p> <p>-Construction of 5 VIP latrines with urinals and 3 classroom block at Tendele Primary School, Ruchuko Primary School, Lorenga Primary School, and Linia Primary School valued at US\$185,000,000.</p>	<p>4</p>

<p>The LG Education department has certified and initiated payment for supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education departments timely (as per contract) certified and recommended suppliers for payment: score 3 points 	<p>The payments of Education department reviewed show that there are instances where the department has not timely certified and recommended for suppliers for payment. Examples include: Payment of Shs 37,534,635 to Meplan Enterprises for completion of classroom block at Nyai P/S. Invoiced on 05/04/16, certificate 07/04/16 and payment on 06/06/16 (61 days). Payment of Shs 4,908,800 to AG AH B Swaibu & Sons for supply of 26 pieces of 3 seater desks. Requisition dated 08/08/17, certificate 09/02/17 and payment on 01/03/17 (5 months and 22 days). Payment of Shs 26,978,092 to HT Alli & Sons for construction of a classroom block at Tendele P/S. Requisition on 14/02/17, certification on 17/02/17 and payment on 07/03/17 (23 days). Payment Shs 5,626,417 to Bora Uzima Ltd for renovation of Anyaka Lio P/S. Requisition 08/05/16, certification 07/06/17 and payment on 26/01/17 (7 months and 16 days). Average: 96 days.</p>	<p>0</p>
<p>Financial management and reporting</p>			
<p>The LG Education department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the department submitted the annual performance report for the previous FY (with availability of all four quarterly reports) to the Planner by mid-July for consolidation: score 4 	<ul style="list-style-type: none"> • There was evidence that the Education department submitted the annual performance report for FY2016/17 on 27th July, 2017, and submitted by Aligah Yunus Awa (DEO) reflected on page 94 of the annual performance report, contrary to Mid-July deadline 	<p>0</p>

<p>LG Education has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year o If sector has no audit query score 4 o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2 points o If all queries are not responded to score 0 	<p>Education Department had internal audit queries in FY 2016/17. Though effort was made to respond the queries, there are some which remained by the close of the year. For example Quarter 1 report had queries on Shs 23,748,200 unaccounted for funds. Response was gotten on this and it was clared (page 8). Quarter 3 report had a query on Shs 1,000,000 payment for fuel and Shs 5,626,417. Up to the time of this assessment on 29 and 30/01/2017 no satisfactory response on these accountabilities had yet been gotten on some of these queries.</p>	<p>0</p>
<p>Social and environmental safeguards</p>			
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in consultation with the gender focal person has disseminated guidelines on how senior women/men teacher should provide guidance to girls and boys to handle hygiene, reproductive health, life skills etc...: Score 2 	<p>There was evidence from the Education Department on dissemination of guidelines for use by senior women/ men teachers as per June 15th 2016 workshop.</p>	<p>2</p>
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in consultation with the gender focal person has disseminated guidelines on how senior women/men teacher should provide guidance to girls and boys to handle hygiene, reproductive health, life skills etc...: Score 2 	<p>There was evidence from the Education Department on dissemination of sanitation guidelines with the Inspector of Schools files for use on sanitation for girls and PWDs in primary schools as per 20th September 2016 training programme</p>	<p>2</p>

<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in consultation with the gender focal person has disseminated guidelines on how senior women/men teacher should provide guidance to girls and boys to handle hygiene, reproductive health, life skills etc...: Score 2 	<p>There was evidence that all the 70 schools (58 government aided, 10 community schools, 2 private) have established SMCs as per regulation as evidenced by “List of SMCs for approval for 2016 by DEO letter Edu/36/2016 dated 15 August 2017.</p>	<p>1</p>
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that LG Education department in collaboration with gender department have issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools: score 2 	<p>There was evidence from the Education Department on dissemination of guidelines for use by senior women/ men teachers as per June 15th 2016 workshop.</p>	<p>2</p>
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that LG Education department in collaboration with gender department have issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools: score 2 	<p>There was evidence from the Education Department on dissemination of sanitation guidelines with the Inspector of Schools files for use on sanitation for girls and PWDs in primary schools as per 20th September 2016 training programme</p>	<p>2</p>

<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that LG Education department in collaboration with gender department have issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools: score 2 	<p>There was evidence that all the 70 schools (58 government aided, 10 community schools, 2 private) have established SMCs as per regulation as evidenced by “List of SMCs for approval for 2016 by DEO letter Edu/36/2016 dated 15 August 2017.</p>	<p>1</p>
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the School Management Committee meet the guideline on gender composition: score 1 	<p>There was evidence from the Education Department on dissemination of guidelines for use by senior women/ men teachers as per June 15th 2016 workshop.</p>	<p>2</p>
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the School Management Committee meet the guideline on gender composition: score 1 	<p>There was evidence from the Education Department on dissemination of sanitation guidelines with the Inspector of Schools files for use on sanitation for girls and PWDs in primary schools as per 20th September 2016 training programme</p>	<p>2</p>

<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the School Management Committee meet the guideline on gender composition: score 1 	<p>There was evidence that all the 70 schools (58 government aided, 10 community schools, 2 private) have established SMCs as per regulation as evidenced by “List of SMCs for approval for 2016 by DEO letter Edu/36/2016 dated 15 August 2017.</p>	<p>1</p>
<p>LG Education department has ensured that guidelines on environmental management are disseminated</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in collaboration with Environment department has issued guidelines on environmental management (tree planting, waste management, formation of environmental clubs and environment education etc.): score 3: 	<p>There was evidence from the Education Department on collaboration with the Environment department on issuance of guidelines on environmental management as per school guidance letter of 13th November 2016</p>	<p>3</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>All new infrastructure projects in: (i) a municipality; and (ii) all Town Councils in a District are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<p>Evidence that a municipality/district has:</p> <ul style="list-style-type: none"> • A functional Physical Planning Committee in place that considers new investments on time: score 2. 	<p>There was no evidence that Koboko district had a functional Physical planning committee. The physical planning committee in place was constituted in 2014 by CAO, but there was no single minute for their sitting to consider new investments. ❖</p>	0
<p>All new infrastructure projects in: (i) a municipality; and (ii) all Town Councils in a District are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<p>Evidence that a municipality/district has:</p> <ul style="list-style-type: none"> • A functional Physical Planning Committee in place that considers new investments on time: score 2. 	<p>There was evidence that all new infrastructure investments had approved plans based on the list of approved building plans in Koboko district for only 2014/15 kept by the physical planner.</p> <p>There was also a report dated 20th July, 2015 written to CAO by the physical planner (Akandru Mariam) on approved building plans attached</p> <p>However, all the above documentatiin were outside the assessment year of FY2016/17</p>	0

<p>All new infrastructure projects in: (i) a municipality; and (ii) all Town Councils in a District are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • All new infrastructure investments have approved plans which are consistent with the Physical Plans: score 2. 	<p>There was no evidence that Koboko district had a functional Physical planning committee. The physical planning committee in place was constituted in 2014 by CAO, but there was no single minute for their sitting to consider new investments. ❖</p>	<p>0</p>
<p>All new infrastructure projects in: (i) a municipality; and (ii) all Town Councils in a District are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • All new infrastructure investments have approved plans which are consistent with the Physical Plans: score 2. 	<p>There was evidence that all new infrastructure investments had approved plans based on the list of approved building plans in Koboko district for only 2014/15 kept by the physical planner.</p> <p>There was also a report dated 20th July, 2015 written to CAO by the physical planner (Akandru Mariam) on approved building plans attached</p> <p>However, all the above documentatiin were outside the assessment year of FY2016/17</p>	<p>0</p>

The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles

• Evidence that priorities in AWP for the current FY are based on the outcomes of budget conferences: score 2.

Budget conference report for FY2017/18 held on 28th October 2016 at Blue Dove in Koboko provides evidence that priorities in AWP were outcome of that meeting. The sector priorities below were extracted from the sectoral presentations and are reflected in the AWP 2017/18

- Administration-planned outputs pg 8 of the AWP- Construction of staff house in Abuku sub county, construction of gate house, staff training
- Finance planned outputs pg 10 of the AWP- procurement of one motorcycle for revenue section in finance department, production of budget, and production of final accounts
- Production and Marketing- planned outputs on pg 14 of AWP- procurement of motorcycles for all the production staff, seed multiplication at sub county level, markets fenced, farmer field visits and diseases surveillance
- Health- planned outputs on pg16 of AWP- Construction of Kitchen shade in Ayipe and Gborokolongo HC111, Installation of solar at Ludara and Gborokolongo HC111 maternity wards, Bamure HC11 OPD, Ayipe HC111 OPD, construction of twin house at Dranya HC111 and solar installation on Koboko Hospital Maternity ward.
- Education planned outputs on pg 19 of the AWP- construction of 3 classrooms at Kagoropa and Madikini PS, construction of 5 stances VIPs at Tukuliri PS, Audi PS, and Kaya PS, supply of desks to Kagoropa and Madikini PSs, training of SMCs and quarterly inspection of schools.
- Roads planned outputs on pag20 of AWP- 233km maintained, 72km mechanised, and 14km opened
- Water planned outputs on pg 22- construct 6 deep boreholes, 1 piped water scheme, 3 large springs protected, rehabilitate 15 boreholes,

<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p>	<ul style="list-style-type: none"> • Evidence that priorities in AWP for the current FY are based on the outcomes of budget conferences: score 2. 	<p>There was evidence that the following capital investments were approved in the 5 year development plan for FY2017/18 and also included in the AWP for current FY 2017/18</p> <ul style="list-style-type: none"> • Administration- Construction of One office complex, renovation of district office blocks, connection of district offices, sub county offices, and other institutions to the main grid, construction of one VIP latrine at the district and with showers at Oraba parking yard, construction of staff houses at Dranya, Abuku, Ludara and Lobule sub counties pgs 198-199 of DDP11 reflected on pgs 8 of AWP • Education –construction of 4 classroom blocks, renovation of 5 classrooms, construction of 10 five stances VIP latrines, Construct 10 twin staff house in primary schools, procurement of one motorcycle pg 208 of DDP11 and pgs 18-19 AWP. • Health- construction of 2 OPD, Construct 4 staff houses, Construct one maternity ward, renovate 2 maternity wards, construction of wards at Koboko general hospital, Construct 4 VIP latrines, Construction of 3 kitchens for patients, upgrading of Ludara health centre 111 to HCIV pgs 210-211 of DDP11 and pg16 of AWP. • Road-Rehabilitate 6 bridges, Open 10km of roads, Rehabilitate 10 km of road, pg 212 of DDP11 and pg 20 of AWP. • Water- drill 20 boreholes, Construct 12 motor drilled shallow wells, protect 5 springs, rehabilitate 20 boreholes, and rehabilitate 5 springs. Pg 213 of DDP11 and pg 22 of AWP 	<p>2</p>
<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p>	<ul style="list-style-type: none"> • Evidence that priorities in AWP for the current FY are based on the outcomes of budget conferences: score 2. 	<p>There was evidence of project profiles developed at the time of developing the 5 year DDP11 2015/16-2019/20. They were presented to the TPC for discussion before the DDP11 was submitted to council for approval under Min 06/COU/05/2015 of the council sitting of the 4th session of the second council held on 30th May, 2015.</p>	<p>1</p>

The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles

- Evidence that the capital investments in the approved Annual work plan for the current FY are derived from the approved five-year development plan. If different, justification has to be provided and evidence that it was approved by Council. Score 2.

Budget conference report for FY2017/18 held on 28th October 2016 at Blue Dove in Koboko provides evidence that priorities in AWP were outcome of that meeting. The sector priorities below were extracted from the sectoral presentations and are reflected in the AWP 2017/18

- Administration-planned outputs pg 8 of the AWP- Construction of staff house in Abuku sub county, construction of gate house, staff training
- Finance planned outputs pg 10 of the AWP- procurement of one motorcycle for revenue section in finance department, production of budget, and production of final accounts
- Production and Marketing- planned outputs on pg 14 of AWP- procurement of motorcycles for all the production staff, seed multiplication at sub county level, markets fenced, farmer field visits and diseases surveillance
- Health- planned outputs on pg16 of AWP- Construction of Kitchen shade in Ayipe and Gborokolongo HC111, Installation of solar at Ludara and Gborokolongo HC111 maternity wards, Bamure HC11 OPD, Ayipe HC111 OPD, construction of twin house at Dranya HC111 and solar installation on Koboko Hospital Maternity ward.
- Education planned outputs on pg 19 of the AWP- construction of 3 classrooms at Kagoropa and Madikini PS, construction of 5 stances VIPs at Tukai PS, Audi PS, and Kaya PS, supply of desks to Kagoropa and Madikini PSs, training of SMCs and quarterly inspection of schools.
- Roads planned outputs on pag20 of AWP- 233km maintained, 72km mechanised, and 14km opened
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<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p>	<ul style="list-style-type: none"> • Evidence that the capital investments in the approved Annual work plan for the current FY are derived from the approved five-year development plan. If different, justification has to be provided and evidence that it was approved by Council. Score 2. 	<p>There was evidence that the following capital investments were approved in the 5 year development plan for FY2017/18 and also included in the AWP for current FY 2017/18</p> <ul style="list-style-type: none"> • Administration- Construction of One office complex, renovation of district office blocks, connection of district offices, sub county offices, and other institutions to the main grid, construction of one VIP latrine at the district and with showers at Oraba parking yard, construction of staff houses at Dranya, Abuku, Ludara and Lobule sub counties pgs 198-199 of DDP11 reflected on pgs 8 of AWP • Education –construction of 4 classroom blocks, renovation of 5 classrooms, construction of 10 five stances VIP latrines, Construct 10 twin staff house in primary schools, procurement of one motorcycle pg 208 of DDP11 and pgs 18-19 AWP. • Health- construction of 2 OPD, Construct 4 staff houses, Construct one maternity ward, renovate 2 maternity wards, construction of wards at Koboko general hospital, Construct 4 VIP latrines, Construction of 3 kitchens for patients, upgrading of Ludara health centre 111 to HCIV pgs 210-211 of DDP11 and pg16 of AWP. • Road-Rehabilitate 6 bridges, Open 10km of roads, Rehabilitate 10 km of road, pg 212 of DDP11 and pg 20 of AWP. • Water- drill 20 boreholes, Construct 12 motor drilled shallow wells, protect 5 springs, rehabilitate 20 boreholes, and rehabilitate 5 springs. Pg 213 of DDP11 and pg 22 of AWP 	<p>2</p>
<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p>	<ul style="list-style-type: none"> • Evidence that the capital investments in the approved Annual work plan for the current FY are derived from the approved five-year development plan. If different, justification has to be provided and evidence that it was approved by Council. Score 2. 	<p>There was evidence of project profiles developed at the time of developing the 5 year DDP11 2015/16-2019/20. They were presented to the TPC for discussion before the DDP11 was submitted to council for approval under Min 06/COU/05/2015 of the council sitting of the 4th session of the second council held on 30th May, 2015.</p>	<p>1</p>

The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles

- Project profiles have been developed and discussed by TPC for all investments in the AWP as per LG Planning guideline: score 1.

Budget conference report for FY2017/18 held on 28th October 2016 at Blue Dove in Koboko provides evidence that priorities in AWP were outcome of that meeting. The sector priorities below were extracted from the sectoral presentations and are reflected in the AWP 2017/18

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<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p>	<ul style="list-style-type: none"> • Project profiles have been developed and discussed by TPC for all investments in the AWP as per LG Planning guideline: score 1. 	<p>There was evidence that the following capital investments were approved in the 5 year development plan for FY2017/18 and also included in the AWP for current FY 2017/18</p> <ul style="list-style-type: none"> • Administration- Construction of One office complex, renovation of district office blocks, connection of district offices, sub county offices, and other institutions to the main grid, construction of one VIP latrine at the district and with showers at Oraba parking yard, construction of staff houses at Dranya, Abuku, Ludara and Lobule sub counties pgs 198-199 of DDP11 reflected on pgs 8 of AWP • Education –construction of 4 classroom blocks, renovation of 5 classrooms, construction of 10 five stances VIP latrines, Construct 10 twin staff house in primary schools, procurement of one motorcycle pg 208 of DDP11 and pgs 18-19 AWP. • Health- construction of 2 OPD, Construct 4 staff houses, Construct one maternity ward, renovate 2 maternity wards, construction of wards at Koboko general hospital, Construct 4 VIP latrines, Construction of 3 kitchens for patients, upgrading of Ludara health centre 111 to HCIV pgs 210-211 of DDP11 and pg16 of AWP. • Road-Rehabilitate 6 bridges, Open 10km of roads, Rehabilitate 10 km of road, pg 212 of DDP11 and pg 20 of AWP. • Water- drill 20 boreholes, Construct 12 motor drilled shallow wells, protect 5 springs, rehabilitate 20 boreholes, and rehabilitate 5 springs. Pg 213 of DDP11 and pg 22 of AWP 	<p>2</p>
<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p>	<ul style="list-style-type: none"> • Project profiles have been developed and discussed by TPC for all investments in the AWP as per LG Planning guideline: score 1. 	<p>There was evidence of project profiles developed at the time of developing the 5 year DDP11 2015/16-2019/20. They were presented to the TPC for discussion before the DDP11 was submitted to council for approval under Min 06/COU/05/2015 of the council sitting of the 4th session of the second council held on 30th May, 2015.</p>	<p>1</p>

<p>Annual statistical abstract developed and applied</p> <p>Maximum 1 point on this performance measure</p>	<ul style="list-style-type: none"> • Annual statistical abstract, with gender disaggregated data has been compiled and presented to the TPC to support budget allocation and decision-making- maximum 1 point. 	<p>There was evidence of a statistical abstract 2016/17 with gender disaggregated data compiled in June 2017. The abstract was discussed in a TPC meeting held on 9th May, 2017 at CAO's office under Min5/DTPC/5/2017- Presentation of statistical Abstract for 2017.</p>	<p>1</p>
<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that all infrastructure projects implemented by the LG in the previous FY were derived from the annual work plan and budget approved by the LG Council: score 2 	<p>There was evidence of Infrastructure projects implemented by Koboko LG derived from the FY2016/17 AWP and they include</p> <ul style="list-style-type: none"> • Health- planned outputs- construct one OPD in Kuluba HC11 pg 18 of AWP. This was reported in the annual performance report pg 87 FY2016/17 as complete. • Education-construct 3 classrooms at Tendele P/S, VIP latrines constructed at Ruchuko P/S, Kuduzia P/S, Ponyura P/S and Longira P/S pg 21 of the AWP. Reported implemented in the APR pg 91 • Roads-maintain 219km of road under routine manual, 69km routine mechanised and 13.8km using periodic, and 16.5km road opening pg 22 AWP, report on pgs 95-96. • Water- 21 boreholes rehabilitated, 1 VIP latrine constructed, 4 shallow wells constructed, 8 deep wells drilled and one piped water system constructed pg 24 AWP, reported implemented in APR pgs 98-101. 	<p>2</p>

<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that all infrastructure projects implemented by the LG in the previous FY were derived from the annual work plan and budget approved by the LG Council: score 2 	<p>There was evidence that all Investments projects implemented in FY2016/17 were completed as per the work plan and they include:</p> <p>One OPD and ward construction and rehabilitation</p> <p>Education outputs</p> <ul style="list-style-type: none"> • Classrooms construction and rehabilitation • Latrines construction and rehabilitation, • Provision of furniture to Tendele P/S <p>Roads and Engineering</p> <ul style="list-style-type: none"> • Community Access Roads Maintenance • District roads maintenance • PRDP- District and community Access Road Maintenance <p>Water</p> <ul style="list-style-type: none"> • Promotion of community based management • Promotion of sanitation and hygiene • Borehole drilling and rehabilitation • Construction of piped water supply system 	<p>4</p>
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<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the investment projects implemented in the previous FY were completed as per work plan by end for FY. <ul style="list-style-type: none"> o 100%: score 4 o 80-99%: score 2 o Below 80%: 0 	<p>There was evidence of Infrastructure projects implemented by Koboko LG derived from the FY2016/17 AWP and they include</p> <ul style="list-style-type: none"> • Health- planned outputs- construct one OPD in Kuluba HC11 pg 18 of AWP. This was reported in the annual performance report pg 87 FY2016/17 as complete. • Education-construct 3 classrooms at Tendele P/S, VIP latrines constructed at Ruchuko P/S, Kuduzia P/S, Ponyura P/S and Longira P/S pg 21 of the AWP. Reported implemented in the APR pg 91 • Roads-maintain 219km of road under routine manual, 69km routine mechanised and 13.8km using periodic, and 16.5km road opening pg 22 AWP, report on pgs 95-96. • Water- 21 boreholes rehabilitated, 1 VIP latrine constructed, 4 shallow wells constructed, 8 deep wells drilled and one piped water system constructed pg 24 AWP, reported implemented in APR pgs 98-101. 	<p>2</p>
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<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the investment projects implemented in the previous FY were completed as per work plan by end for FY. <ul style="list-style-type: none"> o 100%: score 4 o 80-99%: score 2 o Below 80%: 0 	<p>There was evidence that all Investments projects implemented in FY2016/17 were completed as per the work plan and they include:</p> <p>One OPD and ward construction and rehabilitation</p> <p>Education outputs</p> <ul style="list-style-type: none"> • Classrooms construction and rehabilitation • Latrines construction and rehabilitation, • Provision of furniture to Tendele P/S <p>Roads and Engineering</p> <ul style="list-style-type: none"> • Community Access Roads Maintenance • District roads maintenance • PRDP- District and community Access Road Maintenance <p>Water</p> <ul style="list-style-type: none"> • Promotion of community based management • Promotion of sanitation and hygiene • Borehole drilling and rehabilitation • Construction of piped water supply system 	<p>4</p>
<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects and assets during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that all investment projects in the previous FY were completed within approved budget – Max. 15% plus or minus of original budget: score 2 	<p>From the annual performance report, it was evident that all investment projects in FY2016/17 in Koboko district LG were not completed within approved budget as shown below</p> <p>Health outputs performances from the Annual performance report of FY2016/17</p> <ul style="list-style-type: none"> • One OPD and other ward construction and rehabilitation, approved budget was 114,000 but spent 73,368,000 (64.4%) pgs 87 Of APR <p>Education outputs</p> <ul style="list-style-type: none"> • Classrooms construction and rehabilitation, approved budget was 101,350,000 but spent 38,374,000 (37.9%) pg 91 of APR • Latrines construction and rehabilitation, approved 102,250,000, but spent 96,781,000 (94.7%) pg 91 • Provision of furniture to Tendele P/S, approved budget 3,450,000, but expenditure 4,909,000 (142.3%) pg 92 	<p>0</p>

		<p>Roads and Engineering</p> <ul style="list-style-type: none"> • Community Access Roads Maintenance approved 125,370,000 but spent 246,833,000 (196.9%) pg 95 APR • District roads maintenance approved 322,771,000 but spent 265,287,000 (82.2%) pg 96 • PRDP- District and community Access Road Maintenance, approved 81,295,000, but spent 83,218,000 (102.4%) pg 96 <p>Water</p> <ul style="list-style-type: none"> • Support for O&M of district water and sanitation – planned 69,510,000, spent 85,624,000 (123.2%) pg 98-99 • Promotion of community based management approved 38,981,000 but spent 20,398,000 (52.3%) pg 99 of APR • Promotion of sanitation and hygiene approved 37,840,000 spent 22,669 (59.9%) pg 100 • Borehole drilling and rehabilitation planned 129,000,000 spent 118,303,000 (91.7%) pg 100 • Construction of piped water supply system approved 107,000,000 spent 95,959,000 (89.7%) 	
<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects and assets during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that all investment projects in the previous FY were completed within approved budget – Max. 15% plus or minus of original budget: score 2 	<p>Under water sector output on support for O&M of district water and sanitation, 99 major repairs in the boreholes and shallow wells were done, and 31 borehole water sources were rehabilitated. The budget allocation was 69,510,000, however, 85,624,000 was spent (123.2%) page 99 of the annual performance report FY2016/17.</p>	<p>2</p>
<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure</p>	<ul style="list-style-type: none"> • Evidence that the LG has budgeted and spent at least 80% of O&M budget for infrastructure in the previous FY: score 2 	<p>From the annual performance report, it was evident that all investment projects in FY2016/17 in Koboko district LG were not completed within approved budget as shown below</p> <p>Health outputs performances from the Annual</p>	<p>0</p>

projects and assets during the previous FY

Maximum 4 points on this Performance Measure.

performance report of FY2016/17

- One OPD and other ward construction and rehabilitation, approved budget was 114,000 but spent 73,368,000 (64.4%) pgs 87 Of APR

Education outputs

- Classrooms construction and rehabilitation, approved budget was 101,350,000 but spent 38,374,000 (37.9%) pg 91 of APR
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Roads and Engineering

- Community Access Roads Maintenance approved 125,370,000 but spent 246,833,000 (196.9%) pg 95 APR
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Water

- Support for O&M of district water and sanitation – planned 69,510,000, spent 85,624,000 (123.2%) pg 98-99
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<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects and assets during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG has budgeted and spent at least 80% of O&M budget for infrastructure in the previous FY: score 2 	<p>Under water sector output on support for O&M of district water and sanitation, 99 major repairs in the boreholes and shallow wells were done, and 31 borehole water sources were rehabilitated. The budget allocation was 69,510,000, however, 85,624,000 was spent (123.2%) page 99 of the annual performance report FY2016/17.</p>	<p>2</p>
<p>Human Resource Management</p>			
<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG has filled all HoDs positions substantively: score 3 	<p>The following HoD's and key section positions are not filled substantively 1- Deputy CAO 2- District Engineer 3- CFO 4- District community development officer 5- Senior planner 6- District health officer 7- Senior procurement officer 8- DHO 9- Principal internal Auditor. The only heads of department positions filled substantively are: 1- DEO District Production and marketing officer 2- Director production and marketing. However there is evidence of attempts by the District to fill the positions, by CAO's declarations and DSC adverts</p>	<p>0</p>
<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG has filled all HoDs positions substantively: score 3 	<ul style="list-style-type: none"> • All heads of departments, acting HoDs and key section heads, had been appraised for the previous FY, as per the guidelines of MoPS (CIRCULAR STANDING INSTRUCTION NO1 OF 2016) 	<p>2</p>

<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> ◆ Evidence that HoDs have been appraised as per guidelines issued by MoPS during the previous FY: score 2 	<p>The following HoD's and key section positions are not filled substantively 1- Deputy CAO 2- District Engineer 3- CFO 4- District community development officer 5- Senior planner 6- District health officer 7- Senior procurement officer 8- DHO 9- Principal internal Auditor. The only heads of department positions filled substantively are: 1- DEO District Production and marketing officer 2- Director production and marketing. However there is evidence of attempts by the District to fill the positions, by CAO's declarations and DSC adverts</p>	<p>0</p>
<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> ◆ Evidence that HoDs have been appraised as per guidelines issued by MoPS during the previous FY: score 2 	<ul style="list-style-type: none"> All heads of departments, acting HoDs and key section heads, had been appraised for the previous FY, as per the guidelines of MoPS (CIRCULAR STANDING INSTRUCTION NO1 OF 2016) 	<p>2</p>
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> Evidence that 100 percent of staff submitted for recruitment have been considered: score 2 	<ul style="list-style-type: none"> All submissions to DSC for recruitment during 2016-2017 FY , had been considered , Reference made to CAO's submission CR/D dated 4/5/2017 in relation to DSC min. 68/2017 of the 42nd sitting held on 9th-19th may 2017. 	<p>2</p>

<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that 100 percent of staff submitted for recruitment have been considered: score 2 	<ul style="list-style-type: none"> • All employees submitted for confirmation had been considered by the DSC. Reference made to CAO's submission dated 3rd may 2017 for confirmation in relation to DSC min.72/2017 of the 42nd sitting held on 9th -19th of may 2017. 	<p>1</p>
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that 100 percent of staff submitted for recruitment have been considered: score 2 	<ul style="list-style-type: none"> • No disciplinary cases were submitted to the DSC for consideration 	<p>1</p>
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that 100 percent of staff submitted for confirmation have been considered: score 1 	<ul style="list-style-type: none"> • All submissions to DSC for recruitment during 2016-2017 FY , had been considered , Reference made to CAO's submission CR/D dated 4/5/2017 in relation to DSC min. 68/2017 of the 42nd sitting held on 9th-19th may 2017. 	<p>2</p>

<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that 100 percent of staff submitted for confirmation have been considered: score 1 	<ul style="list-style-type: none"> • All employees submitted for confirmation had been considered by the DSC. Reference made to CAO's submission dated 3rd may 2017 for confirmation in relation to DSC min.72/2017 of the 42nd sitting held on 9th -19th of may 2017. 	<p>1</p>
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that 100 percent of staff submitted for confirmation have been considered: score 1 	<ul style="list-style-type: none"> • No disciplinary cases were submitted to the DSC for consideration 	<p>1</p>
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that 100 percent of staff submitted for disciplinary actions have been considered: score 1 	<ul style="list-style-type: none"> • All submissions to DSC for recruitment during 2016-2017 FY , had been considered , Reference made to CAO's submission CR/D dated 4/5/2017 in relation to DSC min. 68/2017 of the 42nd sitting held on 9th-19th may 2017. 	<p>2</p>

<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that 100 percent of staff submitted for disciplinary actions have been considered: score 1 	<ul style="list-style-type: none"> • All employees submitted for confirmation had been considered by the DSC. Reference made to CAO's submission dated 3rd may 2017 for confirmation in relation to DSC min.72/2017 of the 42nd sitting held on 9th -19th of may 2017. 	<p>1</p>
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that 100 percent of staff submitted for disciplinary actions have been considered: score 1 	<ul style="list-style-type: none"> • No disciplinary cases were submitted to the DSC for consideration 	<p>1</p>
<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: score 3 	<ul style="list-style-type: none"> • All the 37 staff recruited in previous financial year had accessed the pay roll with in two month as evidenced in the IPPS 	<p>3</p>

<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: score 3 	<p>No pensioner had accessed pensioner's pay roll with in two month after retirement, according to the pensioner's soft ware payroll .The design/process for approving pension largely is not decentralized and there isn't much the District can do to speed up pensioner's pay.</p>	<p>0</p>
<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that 100% of the staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: score 2 	<ul style="list-style-type: none"> All the 37 staff recruited in previous financial year had accessed the pay roll with in two month as evidenced in the IPPS 	<p>3</p>
<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that 100% of the staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: score 2 	<p>No pensioner had accessed pensioner's pay roll with in two month after retirement, according to the pensioner's soft ware payroll .The design/process for approving pension largely is not decentralized and there isn't much the District can do to speed up pensioner's pay.</p>	<p>0</p>
<p>Revenue Mobilization</p>			

<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • If increase in OSR from previous FY but one to previous FY is more than 10% : score 4 points • If the increase is from 5 -10% : score 2 point • If the increase is less than 5% : score 0 points. 	<p>OSR performance for FY 2016/17 was Shs 134,870,379. OSR performance for FY 2015/16 was Shs 133,890,245. The increase was Shs 980,134 which was 0.74%. This is <5%.</p>	<p>0</p>
<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realisation) is within +/- 10% : then 2 points. If more than +/- 10% : zero points. 	<p>OSR performance for FY 2016/17 was Shs 134,870,379. OSR budget for FY 2016/17 was Shs 160,887,477. The difference was Shs 26,017,098 i.e. 16.1% which was out of the range +/-10%.</p>	<p>0</p>
<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the District/Municipality has remitted the mandatory LLG share of local revenues: score 2 	<p>Koboko district remits and gets remittances of local revenues to and from LLGs. Examples of remittances to LLGs (65%) include: Shs 16,017,989 tobacco haulage fees paid to 6 sub counties on 24/02/17, Shs 20,955,187 LST paid to 6 sub counties on 24/02/17, Shs 10,006,623 LST and tobacco haulage fees paid to Kuluba S/C on 03/p2/17 an Shs 5,537,980 tobacco haulage fees paid to 6 sub counties on 15/12/17. Examples of receipts of local revenue (35%) from LLGs: Shs 511,000 land fees from Midia S/C on 13/12/17, Shs 3,000,000 business license and market fees from Kuluba S/C on 14/12/17, Shs 759,828 registration/market fees and stamp duty from Lobule S/C on 25/09/17 and Shs 1,401,753 birth certificate fees etc from Ludara S/C on 28/09/16.</p>	<p>2</p>

<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that the District/Municipality has remitted the mandatory LLG share of local revenues: score 2 	<p>According to Koboko DLG Financial Statement for FY 2016/17, expenditure of OSR on Council activities was Shs 28,245,100 (Chairman's travel). Council allowances are said to have been paid out of government grants. Compared with the revenue performance of FY 2015/16 at Shs 133,890,245, the ratio was 21% which was > 20%.</p>	<p>0</p>
<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG is not using more than 20% of OSR on council activities: score 2 	<p>Koboko district remits and gets remittances of local revenues to and from LLGs. Examples of remittances to LLGs (65%) include: Shs 16,017,989 tobacco haulage fees paid to 6 sub counties on 24/02/17, Shs 20,955,187 LST paid to 6 sub counties on 24/02/17, Shs 10,006,623 LST and tobacco haulage fees paid to Kuluba S/C on 03/p2/17 an Shs 5,537,980 tobacco haulage fees paid to 6 sub counties on 15/12/17. Examples of receipts of local revenue (35%) from LLGs: Shs 511,000 land fees from Midia S/C on 13/12/17, Shs 3,000,000 business license and market fees from Kuluba S/C on 14/12/17, Shs 759,828 registration/market fees and stamp duty from Lobule S/C on 25/09/17 and Shs 1,401,753 birth certificate fees etc from Ludara S/C on 28/09/16.</p>	<p>2</p>
<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG is not using more than 20% of OSR on council activities: score 2 	<p>According to Koboko DLG Financial Statement for FY 2016/17, expenditure of OSR on Council activities was Shs 28,245,100 (Chairman's travel). Council allowances are said to have been paid out of government grants. Compared with the revenue performance of FY 2015/16 at Shs 133,890,245, the ratio was 21% which was > 20%.</p>	<p>0</p>
<p>Procurement and contract management</p>			

<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<p>• Evidence that the District has the position of a Senior Procurement Officer and Procurement Officer (if Municipal: Procurement Officer and Assistant Procurement Officer) substantively filled: score 2</p>	<p>- There WAS Evidence that the District had the position of a Senior Procurement Officer substantively filled (DSC Min. 5/2015 Dated 19/06/2015).</p> <p>- There was NO Evidence that the District had the position of Procurement Officer substantively filled.</p>	<p>0</p>
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<p>• Evidence that the District has the position of a Senior Procurement Officer and Procurement Officer (if Municipal: Procurement Officer and Assistant Procurement Officer) substantively filled: score 2</p>	<p>There WAS Evidence that TEC Produced and Submitted Reports to the Contracts Committee for the previous FY (2016/2017 FY) as exemplified by the following projects:</p> <ul style="list-style-type: none"> - Borehole Drilling and Installation (Kobo/563/Wrks/16-17/00001). TEC Min Date: 21/11/2016. Signed by Accountant, Roads Inspector, SAS 1, SAS 2, Accounts Assistant. - Borehole Siting Consultancy (Kobo/563/Wrks/16-17/00002). TEC Min Date: 21/11/2016. Signed by Accountant, Roads Inspector, SAS 1, SAS 2, Accounts Assistant. - Construction of 4 Stance VIP Latrine at Ponyura P/S (Kobo/563/Wrks/16-17/00003). TEC Min Date: 21/11/2016. Signed by Accountant, Roads Inspector, SAS 1, SAS 2, Accounts Assistant. - Construction of 2 Units of 5 Stance VIP Latrine at Ruchuko and Longira Primary Schools(Kobo/563/Wrks/16-17/00005). TEC Min Date: 21/11/2016. Signed by Accountant, Roads Inspector, SAS 1, SAS 2, Accounts Assistant. - Construction of 2 Stance VIP Latrine at Ludara Health Center III (Kobo/563/Wrks/16-17/00006). TEC Min Date: 21/11/2016. Signed by Accountant, Roads Inspector, SAS 1, SAS 2, Accounts Assistant. - Construction of Three Classroom Block at Tendele P/S (Kobo/563/Wrks/16-17/00007). TEC Min Date: 21/11/2016. Signed by Accountant, Roads Inspector, SAS 1, SAS 2, Accounts Assistant. - Construction of OPD at Kuluba Health Center II (Kobo/563/Wrks/16-17/00010). TEC Min Date: 17/11/2016. Signed by Accountant, Roads Inspector, SAS 1, SAS 2, Accounts Assistant. 	<p>1</p>

		<p>- Road Opening and Shaping (Kobo/563/Wrks/16-17/00011). TEC Min Date: 21/11/2016. Signed by Accountant, Roads Inspector, SAS 1, SAS 2, Accounts Assistant.</p> <p>- Construction of PSN Latrine at Ponyura P/S (Kobo/563/Wrks/16-17/00028). TEC Min Date: 18/04/2017. Signed by Roads Inspector, Accounts Assistant, Senior Procurement Officer.</p> <p>- Construction of 5 Stance VIP Latrine at Ponyura P/S (Kobo/563/Wrks/16-17/00029). TEC Min Date: 18/04/2017. Signed by Senior Accounts Assistant, Roads Inspector, Senior Procurement Officer, Accounts Assistant.</p>	
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<p>• Evidence that the District has the position of a Senior Procurement Officer and Procurement Officer (if Municipal: Procurement Officer and Assistant Procurement Officer) substantively filled: score 2</p>	<p>There WAS Evidence that District Contracts Committee considered recommendations of the TEC and provided justifications for any deviations from those recommendations as exemplified by the following projects:</p> <p>- Borehole Drilling and Installation (Kobo/563/Wrks/16-17/00001). DCC Min Date: 22/11/2016. 2nd DCC Meeting. Signed by District Production Officer, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.</p> <p>- Borehole Siting Consultancy (Kobo/563/Wrks/16-17/00002). DCC Min Date: 22/11/2016. 2nd DCC Meeting. Signed by District Production Officer, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.</p> <p>- Construction of 4 Stance VIP Latrine at Ponyura P/S (Kobo/563/Wrks/16-17/00003). DCC Min Date: 22/11/2016. 2nd DCC Meeting. Signed by District Production Officer, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.</p> <p>- Construction of 2 Units of 5 Stance VIP Latrine at Ruchuko and Longira Primary Schools(Kobo/563/Wrks/16-17/00005). DCC Min Date: 22/11/2016. 2nd DCC Meeting. Signed by District Production Officer, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant</p>	<p>1</p>

Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Construction of 2 Stance VIP Latrine at Ludara Health Center III (Kobo/563/Wrks/16-17/00006). DCC Min Date: 22/11/2016. 2nd DCC Meeting. Signed by District Production Officer, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Construction of Three Classroom Block at Tendele P/S (Kobo/563/Wrks/16-17/00007). DCC Min Date: 22/11/2016. 2nd DCC Meeting. Signed by District Production Officer, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Construction of OPD at Kuluba Health Center II (Kobo/563/Wrks/16-17/00010). DCC Min Date: 22/11/2016. 2nd DCC Meeting. Signed by District Production Officer, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Road Opening and Shaping (Kobo/563/Wrks/16-17/00011). DCC Min Date: 22/11/2016. 2nd DCC Meeting. Signed by District Production Officer, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Construction of PSN Latrine at Ponyura P/S (Kobo/563/Wrks/16-17/00028). DCC Min Date: 25/05/2017. 5th DCC Meeting. Signed by District Production Coordinator, Physical Planner, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Construction of 5 Stance VIP Latrine at Ponyura P/S (Kobo/563/Wrks/16-17/00029). DCC Min Date: 25/05/2017. 5th DCC Meeting. Signed by District Production Coordinator, Physical Planner, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Construction of 2 Units of 4 Classrooms Block with Office at Ponyura P/S (Kobo/563/Wrks/17-

		<p>18/00001). DCC Min Date: 19/07/2017. 2nd DCC Meeting. Signed by District Production Coordinator, District Natural Resources Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.</p> <p>- Fencing of Pijoke Health Center III (Kobo/563/Wrks/17-18/00003). DCC Min Date: 19/07/2017. 2nd DCC Meeting. Signed by District Production Coordinator, District Natural Resources Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.</p> <p>- Construction of 4 Classrooms Block with Office at Busia P/S (Kobo/563/Wrks/17-18/00004). DCC Min Date: 19/07/2017. 2nd DCC Meeting. Signed by District Production Coordinator, District Natural Resources Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.</p> <p>- Rehabilitation of Kagoropa to Korokaya Road (Kobo/563/Wrks/17-18/00006). DCC Min Date: 19/07/2017. 2nd DCC Meeting. Signed by District Production Coordinator, District Natural Resources Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.</p> <p>- Construction of Police Post and Accommodation at Busia (Kobo/563/Wrks/17-18/00008). DCC Min Date: 19/07/2017. 2nd DCC Meeting. Signed by District Production Coordinator, District Natural Resources Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.</p>	
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<p>•❖❖ Evidence that the TEC produced and submitted reports to the Contracts Committee for the previous FY: score 1</p>	<p>- There WAS Evidence that the District had the position of a Senior Procurement Officer substantively filled (DSC Min. 5/2015 Dated 19/06/2015).</p> <p>- There was NO Evidence that the District had the position of Procurement Officer substantively filled.</p>	<p>0</p>
<p>The LG has in place the capacity to</p>	<p>•❖❖ Evidence that the TEC produced and</p>	<p>There WAS Evidence that TEC Produced and Submitted Reports to the Contracts Committee for</p>	<p>1</p>

manage the procurement function

Maximum 4 points on this performance measure.

submitted reports to the Contracts Committee for the previous FY: score 1

the previous FY (2016/2017 FY) as exemplified by the following projects:

- Borehole Drilling and Installation (Kobo/563/Wrks/16-17/00001). TEC Min Date: 21/11/2016. Signed by Accountant, Roads Inspector, SAS 1, SAS 2, Accounts Assistant.
- Borehole Siting Consultancy (Kobo/563/Wrks/16-17/00002). TEC Min Date: 21/11/2016. Signed by Accountant, Roads Inspector, SAS 1, SAS 2, Accounts Assistant.
- Construction of 4 Stance VIP Latrine at Ponyura P/S (Kobo/563/Wrks/16-17/00003). TEC Min Date: 21/11/2016. Signed by Accountant, Roads Inspector, SAS 1, SAS 2, Accounts Assistant.
- Construction of 2 Units of 5 Stance VIP Latrine at Ruchuko and Longira Primary Schools(Kobo/563/Wrks/16-17/00005). TEC Min Date: 21/11/2016. Signed by Accountant, Roads Inspector, SAS 1, SAS 2, Accounts Assistant.
- Construction of 2 Stance VIP Latrine at Ludara Health Center III (Kobo/563/Wrks/16-17/00006). TEC Min Date: 21/11/2016. Signed by Accountant, Roads Inspector, SAS 1, SAS 2, Accounts Assistant.
- Construction of Three Classroom Block at Tendele P/S (Kobo/563/Wrks/16-17/00007). TEC Min Date: 21/11/2016. Signed by Accountant, Roads Inspector, SAS 1, SAS 2, Accounts Assistant.
- Construction of OPD at Kuluba Health Center II (Kobo/563/Wrks/16-17/00010). TEC Min Date: 17/11/2016. Signed by Accountant, Roads Inspector, SAS 1, SAS 2, Accounts Assistant.
- Road Opening and Shaping (Kobo/563/Wrks/16-17/00011). TEC Min Date: 21/11/2016. Signed by Accountant, Roads Inspector, SAS 1, SAS 2, Accounts Assistant.
- Construction of PSN Latrine at Ponyura P/S (Kobo/563/Wrks/16-17/00028). TEC Min Date: 18/04/2017. Signed by Roads Inspector, Accounts Assistant, Senior Procurement Officer.
- Construction of 5 Stance VIP Latrine at Ponyura P/S (Kobo/563/Wrks/16-17/00029). TEC Min Date: 18/04/2017. Signed by Senior Accounts Assistant, Roads Inspector, Senior Procurement Officer, Accounts Assistant.

<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<p>• Evidence that the TEC produced and submitted reports to the Contracts Committee for the previous FY: score 1</p>	<p>There WAS Evidence that District Contracts Committee considered recommendations of the TEC and provided justifications for any deviations from those recommendations as exemplified by the following projects:</p> <ul style="list-style-type: none"> - Borehole Drilling and Installation (Kobo/563/Wrks/16-17/00001). DCC Min Date: 22/11/2016. 2nd DCC Meeting. Signed by District Production Officer, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer. - Borehole Siting Consultancy (Kobo/563/Wrks/16-17/00002). DCC Min Date: 22/11/2016. 2nd DCC Meeting. Signed by District Production Officer, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer. - Construction of 4 Stance VIP Latrine at Ponyura P/S (Kobo/563/Wrks/16-17/00003). DCC Min Date: 22/11/2016. 2nd DCC Meeting. Signed by District Production Officer, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer. - Construction of 2 Units of 5 Stance VIP Latrine at Ruchuko and Longira Primary Schools(Kobo/563/Wrks/16-17/00005). DCC Min Date: 22/11/2016. 2nd DCC Meeting. Signed by District Production Officer, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer. - Construction of 2 Stance VIP Latrine at Ludara Health Center III (Kobo/563/Wrks/16-17/00006). DCC Min Date: 22/11/2016. 2nd DCC Meeting. Signed by District Production Officer, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer. - Construction of Three Classroom Block at Tendele P/S (Kobo/563/Wrks/16-17/00007). DCC Min Date: 22/11/2016. 2nd DCC Meeting. Signed by District Production Officer, District Natural Resources Officer, District Water Officer, Senior 	<p>1</p>
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Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Construction of OPD at Kuluba Health Center II (Kobo/563/Wrks/16-17/00010). DCC Min Date: 22/11/2016. 2nd DCC Meeting. Signed by District Production Officer, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Road Opening and Shaping (Kobo/563/Wrks/16-17/00011). DCC Min Date: 22/11/2016. 2nd DCC Meeting. Signed by District Production Officer, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Construction of PSN Latrine at Ponyura P/S (Kobo/563/Wrks/16-17/00028). DCC Min Date: 25/05/2017. 5th DCC Meeting. Signed by District Production Coordinator, Physical Planner, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Construction of 5 Stance VIP Latrine at Ponyura P/S (Kobo/563/Wrks/16-17/00029). DCC Min Date: 25/05/2017. 5th DCC Meeting. Signed by District Production Coordinator, Physical Planner, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Construction of 2 Units of 4 Classrooms Block with Office at Ponyura P/S (Kobo/563/Wrks/17-18/00001). DCC Min Date: 19/07/2017. 2nd DCC Meeting. Signed by District Production Coordinator, District Natural Resources Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Fencing of Pijoke Health Center III (Kobo/563/Wrks/17-18/00003). DCC Min Date: 19/07/2017. 2nd DCC Meeting. Signed by District Production Coordinator, District Natural Resources Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Construction of 4 Classrooms Block with Office at Busia P/S (Kobo/563/Wrks/17-18/00004). DCC Min

		<p>Date: 19/07/2017. 2nd DCC Meeting. Signed by District Production Coordinator, District Natural Resources Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.</p> <p>- Rehabilitation of Kagoropa to Korokaya Road (Kobo/563/Wrks/17-18/00006). DCC Min Date: 19/07/2017. 2nd DCC Meeting. Signed by District Production Coordinator, District Natural Resources Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.</p> <p>- Construction of Police Post and Accommodation at Busia (Kobo/563/Wrks/17-18/00008). DCC Min Date: 19/07/2017. 2nd DCC Meeting. Signed by District Production Coordinator, District Natural Resources Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.</p>	
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<p>•❖❖ Committee considered recommendations of the TEC and provide justifications for any deviations from those recommendations: score 1</p>	<p>- There WAS Evidence that the District had the position of a Senior Procurement Officer substantively filled (DSC Min. 5/2015 Dated 19/06/2015).</p> <p>- There was NO Evidence that the District had the position of Procurement Officer substantively filled.</p>	0
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<p>•❖❖ Committee considered recommendations of the TEC and provide justifications for any deviations from those recommendations: score 1</p>	<p>There WAS Evidence that TEC Produced and Submitted Reports to the Contracts Committee for the previous FY (2016/2017 FY) as exemplified by the following projects:</p> <p>- Borehole Drilling and Installation (Kobo/563/Wrks/16-17/00001). TEC Min Date: 21/11/2016. Signed by Accountant, Roads Inspector, SAS 1, SAS 2, Accounts Assistant.</p> <p>- Borehole Siting Consultancy (Kobo/563/Wrks/16-17/00002). TEC Min Date: 21/11/2016. Signed by Accountant, Roads Inspector, SAS 1, SAS 2, Accounts Assistant.</p> <p>- Construction of 4 Stance VIP Latrine at Ponyura P/S (Kobo/563/Wrks/16-17/00003). TEC Min Date: 21/11/2016. Signed by Accountant, Roads</p>	1

		<p>Inspector, SAS 1, SAS 2, Accounts Assistant.</p> <ul style="list-style-type: none"> - Construction of 2 Units of 5 Stance VIP Latrine at Ruchuko and Longira Primary Schools(Kobo/563/Wrks/16-17/00005). TEC Min Date: 21/11/2016. Signed by Accountant, Roads Inspector, SAS 1, SAS 2, Accounts Assistant. - Construction of 2 Stance VIP Latrine at Ludara Health Center III (Kobo/563/Wrks/16-17/00006). TEC Min Date: 21/11/2016. Signed by Accountant, Roads Inspector, SAS 1, SAS 2, Accounts Assistant. - Construction of Three Classroom Block at Tendele P/S (Kobo/563/Wrks/16-17/00007). TEC Min Date: 21/11/2016. Signed by Accountant, Roads Inspector, SAS 1, SAS 2, Accounts Assistant. - Construction of OPD at Kuluba Health Center II (Kobo/563/Wrks/16-17/00010). TEC Min Date: 17/11/2016. Signed by Accountant, Roads Inspector, SAS 1, SAS 2, Accounts Assistant. - Road Opening and Shaping (Kobo/563/Wrks/16-17/00011). TEC Min Date: 21/11/2016. Signed by Accountant, Roads Inspector, SAS 1, SAS 2, Accounts Assistant. - Construction of PSN Latrine at Ponyura P/S (Kobo/563/Wrks/16-17/00028). TEC Min Date: 18/04/2017. Signed by Roads Inspector, Accounts Assistant, Senior Procurement Officer. - Construction of 5 Stance VIP Latrine at Ponyura P/S (Kobo/563/Wrks/16-17/00029). TEC Min Date: 18/04/2017. Signed by Senior Accounts Assistant, Roads Inspector, Senior Procurement Officer, Accounts Assistant. 	
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<p>•◆◆ Committee considered recommendations of the TEC and provide justifications for any deviations from those recommendations: score 1</p>	<p>There WAS Evidence that District Contracts Committee considered recommendations of the TEC and provided justifications for any deviations from those recommendations as exemplified by the following projects:</p> <ul style="list-style-type: none"> - Borehole Drilling and Installation (Kobo/563/Wrks/16-17/00001). DCC Min Date: 22/11/2016. 2nd DCC Meeting. Signed by District Production Officer, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer. 	<p>1</p>

- Borehole Siting Consultancy (Kobo/563/Wrks/16-17/00002). DCC Min Date: 22/11/2016. 2nd DCC Meeting. Signed by District Production Officer, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Construction of 4 Stance VIP Latrine at Ponyura P/S (Kobo/563/Wrks/16-17/00003). DCC Min Date: 22/11/2016. 2nd DCC Meeting. Signed by District Production Officer, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Construction of 2 Units of 5 Stance VIP Latrine at Ruchuko and Longira Primary Schools(Kobo/563/Wrks/16-17/00005). DCC Min Date: 22/11/2016. 2nd DCC Meeting. Signed by District Production Officer, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Construction of 2 Stance VIP Latrine at Ludara Health Center III (Kobo/563/Wrks/16-17/00006). DCC Min Date: 22/11/2016. 2nd DCC Meeting. Signed by District Production Officer, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Construction of Three Classroom Block at Tendele P/S (Kobo/563/Wrks/16-17/00007). DCC Min Date: 22/11/2016. 2nd DCC Meeting. Signed by District Production Officer, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Construction of OPD at Kuluba Health Center II (Kobo/563/Wrks/16-17/00010). DCC Min Date: 22/11/2016. 2nd DCC Meeting. Signed by District Production Officer, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Road Opening and Shaping (Kobo/563/Wrks/16-17/00011). DCC Min Date: 22/11/2016. 2nd DCC Meeting. Signed by District Production Officer, District Natural Resources Officer, District Water

Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Construction of PSN Latrine at Ponyura P/S (Kobo/563/Wrks/16-17/00028). DCC Min Date: 25/05/2017. 5th DCC Meeting. Signed by District Production Coordinator, Physical Planner, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Construction of 5 Stance VIP Latrine at Ponyura P/S (Kobo/563/Wrks/16-17/00029). DCC Min Date: 25/05/2017. 5th DCC Meeting. Signed by District Production Coordinator, Physical Planner, District Natural Resources Officer, District Water Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Construction of 2 Units of 4 Classrooms Block with Office at Ponyura P/S (Kobo/563/Wrks/17-18/00001). DCC Min Date: 19/07/2017. 2nd DCC Meeting. Signed by District Production Coordinator, District Natural Resources Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Fencing of Pijoke Health Center III (Kobo/563/Wrks/17-18/00003). DCC Min Date: 19/07/2017. 2nd DCC Meeting. Signed by District Production Coordinator, District Natural Resources Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Construction of 4 Classrooms Block with Office at Busia P/S (Kobo/563/Wrks/17-18/00004). DCC Min Date: 19/07/2017. 2nd DCC Meeting. Signed by District Production Coordinator, District Natural Resources Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Rehabilitation of Kagoropa to Korokaya Road (Kobo/563/Wrks/17-18/00006). DCC Min Date: 19/07/2017. 2nd DCC Meeting. Signed by District Production Coordinator, District Natural Resources Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.

- Construction of Police Post and Accommodation at Busia (Kobo/563/Wrks/17-18/00008). DCC Min Date: 19/07/2017. 2nd DCC Meeting. Signed by

		District Production Coordinator, District Natural Resources Officer, Senior Community Development Officer, Senior Assistant Secretary 1, Senior Assistant Secretary 2, Senior Procurement Officer.	
<p>The LG has a comprehensive Procurement and Disposal Plan covering infrastructure activities in the approved AWP and is followed.</p> <p>Maximum 2 points on this performance measure.</p>	<ul style="list-style-type: none"> • a) Evidence that the procurement and Disposal Plan for the current year covers all infrastructure projects in the approved annual work plan and budget and b) evidence that the LG has made procurements in previous FY as per plan (adherence to the procurement plan) for the previous FY: score 2 	<p>(a) There WAS Evidence that the procurement and Disposal Plan for the current year (2017/2018 FY) covered all infrastructure projects in the approved annual work plan and budget as exemplified by the following procurements that were indicated both in the Procurement Plan and in the approved annual work plan and budget for the current FY (2017/2018 FY):</p> <ul style="list-style-type: none"> - Construction of 2 Units of 4 Classrooms Block with Office at Ponyura P/S (Kobo/563/Wrks/17-18/00001) was indicated in Minute No. 4 of DEC Supplementary REHOPE Budget (Minutes of the District Executive Committee Meeting held on 23 August 2017 in Chairman’s Office) AND was also indicated in Koboko DLG Revised Annual Procurement and Disposal Plan for 2017/18 FY Dated 12 September 2017. - Fencing of Pijoke Health Center III (Kobo/563/Wrks/17-18/00003) was indicated in Minute No. 4 of DEC Supplementary REHOPE Budget (Minutes of the District Executive Committee Meeting held on 23 August 2017 in Chairman’s Office) AND was also indicated in Koboko DLG Revised Annual Procurement and Disposal Plan for 2017/18 FY Dated 12 September 2017. - Construction of 4 Classrooms Block with Office at Busia P/S (Kobo/563/Wrks/17-18/00004) was indicated in Minute No. 4 of DEC Supplementary REHOPE Budget (Minutes of the District Executive Committee Meeting held on 23 August 2017 in Chairman’s Office) AND was also indicated in Koboko DLG Revised Annual Procurement and Disposal Plan for 2017/18 FY Dated 12 September 2017. - Rehabilitation of Kagoropa to Korokaya Road (Kobo/563/Wrks/17-18/00006) was indicated in Minute No. 4 of DEC Supplementary REHOPE Budget (Minutes of the District Executive Committee Meeting held on 23 August 2017 in Chairman’s Office) AND was also indicated in Koboko DLG Revised Annual Procurement and Disposal Plan for 2017/18 FY Dated 12 September 2017. - Construction of Police Post and Accommodation 	2

at Busia (Kobo/563/Wrks/17-18/00008) was indicated in Minute No. 4 of DEC Supplementary REHOPE Budget (Minutes of the District Executive Committee Meeting held on 23 August 2017 in Chairman's Office) AND was also indicated in Koboko DLG Revised Annual Procurement and Disposal Plan for 2017/18 FY Dated 12 September 2017.

(b) There WAS Evidence that the LG made procurements in previous FY (2016/2017 FY) as per plan (adherence to the procurement plan) for the previous FY (2016/2017 FY) as exemplified by the following procurements that occurred in the Procurement Plan, in the Annual Work Plan and Budget and in Referenced Procurement Files for the previous FY (2016/2017 FY):

- Borehole Drilling and Installation (Kobo/563/Wrks/16-17/00001) (Page 30 – Koboko DLG Budget for Financial Year 2016/17, Vote 563, Output 098183). Also indicated in Koboko DLG Revised Annual Procurement and Disposal Plan for 2016/17 FY Dated 27/03/2017.

- Borehole Siting Consultancy (Kobo/563/Wrks/16-17/00002) (Page 30 – Koboko DLG Budget for Financial Year 2016/17, Vote 563, Output 098183). Also indicated in Koboko DLG Revised Annual Procurement and Disposal Plan for 2016/17 FY Dated 27/03/2017.

- Construction of 2 Units of 5 Stance VIP Latrine at Ruchuko and Longira Primary Schools (Kobo/563/Wrks/16-17/00005) (Page 22 – Koboko DLG Budget for Financial Year 2016/17, Vote 563, Output 078181). Also indicated in Koboko DLG Revised Annual Procurement and Disposal Plan for 2016/17 FY Dated 27/03/2017.

- Construction of Three Classroom Block at Tendele P/S (Kobo/563/Wrks/16-17/00007) (Page 21 – Koboko DLG Budget for Financial Year 2016/17, Vote 563, Output 078180). Also indicated in Koboko DLG Revised Annual Procurement and Disposal Plan for 2016/17 FY Dated 27/03/2017.

- Construction of OPD at Kuluba Health Center II (Kobo/563/Wrks/16-17/00010) (Page 17 – Koboko DLG Budget for Financial Year 2016/17, Vote 563, Output 088183). Also indicated in Koboko DLG Revised Annual Procurement and Disposal Plan for 2016/17 FY Dated 27/03/2017.

- Road Opening and Shaping (Kobo/563/Wrks/16-17/00011) (Page 25 – Koboko DLG Budget for Financial Year 2016/17, Vote 563, Output 048151). Also indicated in Koboko DLG Revised Annual

Procurement and Disposal Plan for 2016/17 FY
Dated 27/03/2017.

- Construction of 5 Stance VIP Latrine at Ponyura
P/S (Kobo/563/Wrks/16-17/00029) (Page 22 –
Koboko DLG Budget for Financial Year 2016/17,
Vote 563, Output 078181). Also indicated in
Koboko DLG Revised Annual Procurement and
Disposal Plan for 2016/17 FY Dated 27/03/2017.

The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.

Maximum 6 points on this performance measure

• For current FY, evidence that the LG has prepared 80% of the bid documents for all investment/infrastructure by August 30: score 2

For current FY (2017/2018), there WAS Evidence that the LG prepared 80% of the bid documents for all investment/infrastructure by August 30. The Assessor made a calculation based on the respective Preparation Dates of individual Bid Documents and found that 100% of Bid Documents for 2017/2018 FY were prepared BEFORE August 30, 2017. The Calculation made by the Assessor was based on the following Projects and the respective Dates on which the Projects Bid Documents were Prepared:

- Construction of 2 Units of 4 Classrooms Block with Office at Ponyura P/S (Kobo/563/Wrks/17-18/00001). Funded under Minute No. 4 of DEC Supplementary REHOPE Budget (Minutes of the District Executive Committee Meeting held on 23 August 2017 in Chairman's Office). Bid Document Preparation Date: 30/06/2017.

- Fencing of Pijoke Health Center III (Kobo/563/Wrks/17-18/00003). Funded under Minute No. 4 of DEC Supplementary REHOPE Budget (Minutes of the District Executive Committee Meeting held on 23 August 2017 in Chairman's Office). Bid Document Preparation Date: 30/06/2017.

- Construction of 4 Classrooms Block with Office at Busia P/S (Kobo/563/Wrks/17-18/00004). Funded under Minute No. 4 of DEC Supplementary REHOPE Budget (Minutes of the District Executive Committee Meeting held on 23 August 2017 in Chairman's Office). Bid Document Preparation Date: 30/06/2017.

- Rehabilitation of Kagoropa to Korokaya Road (Kobo/563/Wrks/17-18/00006). Funded under Minute No. 4 of DEC Supplementary REHOPE Budget (Minutes of the District Executive Committee Meeting held on 23 August 2017 in Chairman's Office). Bid Document Preparation Date: 30/06/2017.

- Construction of Police Post and Accommodation at Busia (Kobo/563/Wrks/17-18/00008). Funded under Minute No. 4 of DEC Supplementary REHOPE Budget (Minutes of the District Executive Committee Meeting held on 23 August 2017 in Chairman's Office). Bid Document Preparation Date: 30/06/2017.

<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • For current FY, evidence that the LG has prepared 80% of the bid documents for all investment/infrastructure by August 30: score 2 	<p>For previous FY (2016/2017 FY), there WAS Evidence that the LG had an Updated Contracts Register and had Completed Procurement Activity Files for all procurements as exemplified by the following:</p> <ul style="list-style-type: none"> - An Updated Contracts Register was seen at the PDU with a Starting/Opening Procurement Entry Titled 'Supply of Assorted Borehole Spare Parts' Referenced 'Kobo/563/Surpls/2016-17/00001 and an Ending/Closing Procurement Entry Titled 'Supply of Local Materials for Construction of Lukudolo Bridge' Referenced 'Kobo/563/Wrks/2016-17/00029. The two entries indicated Serial Number, Subject of Procurement, Procurement Reference Number, Method of Procurement, Name of the Contractor, Contract Award Value, Contract Start Date, Completion Date and Procurement Status, respectively. The Koboko DLG PDU Contracts Register for 2016/2017 FY was prepared and signed by Senior Procurement Officer on 30/06/2017. - Referenced and Completed Procurement Activity Files for all procurements were seen at the PDU. 	<p>2</p>
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<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • For current FY, evidence that the LG has prepared 80% of the bid documents for all investment/infrastructure by August 30: score 2 	<p>For previous FY (2016/2017 FY), there WAS Evidence that the LG adhered to Procurement Thresholds as exemplified by the following procurements:</p> <ul style="list-style-type: none"> - Borehole Drilling and Installation (Kobo/563/Wrks/16-17/00001). Contract Amount: 134,250,606 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 27/10/2016, New Vision Newspaper. - Borehole Siting Consultancy (Kobo/563/Wrks/16-17/00002). Contract Amount: 13,879,800 UGX. Verified Procurement Method: Selective Bidding. Date of Invitation to Bid: 27/10/2016. Reference: CR/D/207/2. Signed by CAO. - Construction of 2 Units of 5 Stance VIP Latrine at Ruchuko and Longira Primary Schools (Kobo/563/Wrks/16-17/00005). Contract Amount: 45,479,560 UGX. Verified Procurement Method: Selective Bidding. Date of Invitation to Bid: 02/November/2016. Reference: CR/D/207/2. Signed by CAO. - Construction of Three Classroom Block at Tendele P/S (Kobo/563/Wrks/16-17/00007). Contract Amount: 80,884,870 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 27/10/2016, New Vision Newspaper. - Construction of OPD at Kuluba Health Center II (Kobo/563/Wrks/16-17/00010). Contract Amount: 97,787,780 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 27/10/2016, New Vision Newspaper. - Road Opening and Shaping (Kobo/563/Wrks/16-17/00011). Contract Amount: 113,236,051 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 27/10/2016, New Vision Newspaper. 	<p>2</p>
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The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.

Maximum 6 points on this performance measure

•❖❖ For Previous FY, evidence that the LG has an updated contract register and has complete procurement activity files for all procurements: score 2

For current FY (2017/2018), there WAS Evidence that the LG prepared 80% of the bid documents for all investment/infrastructure by August 30. The Assessor made a calculation based on the respective Preparation Dates of individual Bid Documents and found that 100% of Bid Documents for 2017/2018 FY were prepared BEFORE August 30, 2017. The Calculation made by the Assessor was based on the following Projects and the respective Dates on which the Projects Bid Documents were Prepared:

- Construction of 2 Units of 4 Classrooms Block with Office at Ponyura P/S (Kobo/563/Wrks/17-18/00001). Funded under Minute No. 4 of DEC Supplementary REHOPE Budget (Minutes of the District Executive Committee Meeting held on 23 August 2017 in Chairman's Office). Bid Document Preparation Date: 30/06/2017.

- Fencing of Pijoke Health Center III (Kobo/563/Wrks/17-18/00003). Funded under Minute No. 4 of DEC Supplementary REHOPE Budget (Minutes of the District Executive Committee Meeting held on 23 August 2017 in Chairman's Office). Bid Document Preparation Date: 30/06/2017.

- Construction of 4 Classrooms Block with Office at Busia P/S (Kobo/563/Wrks/17-18/00004). Funded under Minute No. 4 of DEC Supplementary REHOPE Budget (Minutes of the District Executive Committee Meeting held on 23 August 2017 in Chairman's Office). Bid Document Preparation Date: 30/06/2017.

- Rehabilitation of Kagoropa to Korokaya Road (Kobo/563/Wrks/17-18/00006). Funded under Minute No. 4 of DEC Supplementary REHOPE Budget (Minutes of the District Executive Committee Meeting held on 23 August 2017 in Chairman's Office). Bid Document Preparation Date: 30/06/2017.

- Construction of Police Post and Accommodation at Busia (Kobo/563/Wrks/17-18/00008). Funded under Minute No. 4 of DEC Supplementary REHOPE Budget (Minutes of the District Executive Committee Meeting held on 23 August 2017 in Chairman's Office). Bid Document Preparation Date: 30/06/2017.

The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.

Maximum 6 points on this performance measure

•❖❖ For Previous FY, evidence that the LG has an updated contract register and has complete procurement activity files for all procurements: score 2

For previous FY (2016/2017 FY), there WAS Evidence that the LG had an Updated Contracts Register and had Completed Procurement Activity Files for all procurements as exemplified by the following:

- An Updated Contracts Register was seen at the PDU with a Starting/Opening Procurement Entry Titled 'Supply of Assorted Borehole Spare Parts' Referenced 'Kobo/563/Surpls/2016-17/00001 and an Ending/Closing Procurement Entry Titled 'Supply of Local Materials for Construction of Lukudolo Bridge' Referenced 'Kobo/563/Wrks/2016-17/00029. The two entries indicated Serial Number, Subject of Procurement, Procurement Reference Number, Method of Procurement, Name of the Contractor, Contract Award Value, Contract Start Date, Completion Date and Procurement Status, respectively. The Koboko DLG PDU Contracts Register for 2016/2017 FY was prepared and signed by Senior Procurement Officer on 30/06/2017.

- Referenced and Completed Procurement Activity Files for all procurements were seen at the PDU.

The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.

Maximum 6 points on this performance measure

•❖❖ For Previous FY, evidence that the LG has an updated contract register and has complete procurement activity files for all procurements: score 2

For previous FY (2016/2017 FY), there WAS Evidence that the LG adhered to Procurement Thresholds as exemplified by the following procurements:

- Borehole Drilling and Installation (Kobo/563/Wrks/16-17/00001). Contract Amount: 134,250,606 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 27/10/2016, New Vision Newspaper.
- Borehole Siting Consultancy (Kobo/563/Wrks/16-17/00002). Contract Amount: 13,879,800 UGX. Verified Procurement Method: Selective Bidding. Date of Invitation to Bid: 27/10/2016. Reference: CR/D/207/2. Signed by CAO.
- Construction of 2 Units of 5 Stance VIP Latrine at Ruchuko and Longira Primary Schools (Kobo/563/Wrks/16-17/00005). Contract Amount: 45,479,560 UGX. Verified Procurement Method: Selective Bidding. Date of Invitation to Bid: 02/November/2016. Reference: CR/D/207/2. Signed by CAO.
- Construction of Three Classroom Block at Tendele P/S (Kobo/563/Wrks/16-17/00007). Contract Amount: 80,884,870 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 27/10/2016, New Vision Newspaper.
- Construction of OPD at Kuluba Health Center II (Kobo/563/Wrks/16-17/00010). Contract Amount: 97,787,780 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 27/10/2016, New Vision Newspaper.
- Road Opening and Shaping (Kobo/563/Wrks/16-17/00011). Contract Amount: 113,236,051 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 27/10/2016, New Vision Newspaper.

The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.

•◆◆◆ For previous FY, evidence that the LG has adhered with procurement thresholds (sample 5 projects):◆ score 2.

For current FY (2017/2018), there WAS Evidence that the LG prepared 80% of the bid documents for all investment/infrastructure by August 30. The Assessor made a calculation based on the respective Preparation Dates of individual Bid Documents and found that 100% of Bid Documents for 2017/2018 FY were prepared BEFORE August 30, 2017. The Calculation made by the Assessor was based on the following Projects and the respective Dates on which the Projects Bid Documents were Prepared:

- Construction of 2 Units of 4 Classrooms Block with Office at Ponyura P/S (Kobo/563/Wrks/17-18/00001). Funded under Minute No. 4 of DEC Supplementary REHOPE Budget (Minutes of the District Executive Committee Meeting held on 23 August 2017 in Chairman's Office). Bid Document Preparation Date: 30/06/2017.

- Fencing of Pijoke Health Center III (Kobo/563/Wrks/17-18/00003). Funded under Minute No. 4 of DEC Supplementary REHOPE Budget (Minutes of the District Executive Committee Meeting held on 23 August 2017 in Chairman's Office). Bid Document Preparation Date: 30/06/2017.

- Construction of 4 Classrooms Block with Office at Busia P/S (Kobo/563/Wrks/17-18/00004). Funded under Minute No. 4 of DEC Supplementary REHOPE Budget (Minutes of the District Executive Committee Meeting held on 23 August 2017 in Chairman's Office). Bid Document Preparation Date: 30/06/2017.

- Rehabilitation of Kagoropa to Korokaya Road (Kobo/563/Wrks/17-18/00006). Funded under Minute No. 4 of DEC Supplementary REHOPE Budget (Minutes of the District Executive Committee Meeting held on 23 August 2017 in Chairman's Office). Bid Document Preparation Date: 30/06/2017.

- Construction of Police Post and Accommodation at Busia (Kobo/563/Wrks/17-18/00008). Funded under Minute No. 4 of DEC Supplementary REHOPE Budget (Minutes of the District Executive Committee Meeting held on 23 August 2017 in Chairman's Office). Bid Document Preparation Date: 30/06/2017.

Maximum 6 points on this performance measure

The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.

Maximum 6 points on this performance measure

•◆◆◆ For previous FY, evidence that the LG has adhered with procurement thresholds (sample 5 projects):◆ score 2.

For previous FY (2016/2017 FY), there WAS Evidence that the LG had an Updated Contracts Register and had Completed Procurement Activity Files for all procurements as exemplified by the following:

- An Updated Contracts Register was seen at the PDU with a Starting/Opening Procurement Entry Titled 'Supply of Assorted Borehole Spare Parts' Referenced 'Kobo/563/Surpls/2016-17/00001 and an Ending/Closing Procurement Entry Titled 'Supply of Local Materials for Construction of Lukudolo Bridge' Referenced 'Kobo/563/Wrks/2016-17/00029. The two entries indicated Serial Number, Subject of Procurement, Procurement Reference Number, Method of Procurement, Name of the Contractor, Contract Award Value, Contract Start Date, Completion Date and Procurement Status, respectively. The Koboko DLG PDU Contracts Register for 2016/2017 FY was prepared and signed by Senior Procurement Officer on 30/06/2017.

- Referenced and Completed Procurement Activity Files for all procurements were seen at the PDU.

The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.

Maximum 6 points on this performance measure

•◆◆◆ For previous FY, evidence that the LG has adhered with procurement thresholds (sample 5 projects):◆ score 2.

For previous FY (2016/2017 FY), there WAS Evidence that the LG adhered to Procurement Thresholds as exemplified by the following procurements:

- Borehole Drilling and Installation (Kobo/563/Wrks/16-17/00001). Contract Amount: 134,250,606 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 27/10/2016, New Vision Newspaper.
- Borehole Siting Consultancy (Kobo/563/Wrks/16-17/00002). Contract Amount: 13,879,800 UGX. Verified Procurement Method: Selective Bidding. Date of Invitation to Bid: 27/10/2016. Reference: CR/D/207/2. Signed by CAO.
- Construction of 2 Units of 5 Stance VIP Latrine at Ruchuko and Longira Primary Schools (Kobo/563/Wrks/16-17/00005). Contract Amount: 45,479,560 UGX. Verified Procurement Method: Selective Bidding. Date of Invitation to Bid: 02/November/2016. Reference: CR/D/207/2. Signed by CAO.
- Construction of Three Classroom Block at Tendele P/S (Kobo/563/Wrks/16-17/00007). Contract Amount: 80,884,870 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 27/10/2016, New Vision Newspaper.
- Construction of OPD at Kuluba Health Center II (Kobo/563/Wrks/16-17/00010). Contract Amount: 97,787,780 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 27/10/2016, New Vision Newspaper.
- Road Opening and Shaping (Kobo/563/Wrks/16-17/00011). Contract Amount: 113,236,051 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 27/10/2016, New Vision Newspaper.

The LG has certified and provided detailed project information on all investments

Maximum 4 points on this performance measure

• Evidence that all works projects implemented in the previous FY were appropriately certified – interim and completion certificates for all projects based on technical supervision: score 2

There WAS Evidence that all works projects implemented in the previous FY (2016/2017 FY) were appropriately certified – interim and completion certificates for all projects based on technical supervision as exemplified by the following projects:

- Construction of OPD at Kuluba Health Center II (Kobo/563/Wrks/16-17/00010). Certificate Prepared by Assistant Engineer. Certified by District Engineer. Dated 22/06/2017.

- Construction of Three Classroom Block at Tendele P/S (Kobo/563/Wrks/16-17/00007). Prepared by Assistant Engineer/MoES. Certified by District Engineer in June 2017. Confirmed by Head Teacher - Tendele P/S. Confirmed by Senior Assistant Secretary on 13/03/2017. Authorized by District Education Officer. Authorized by CAO. Authorized by Chief Finance Officer. Authorized by Internal Auditor on 13/05/2017.

- Construction of 5 Stance VIP Latrine at Ponyura P/S in Lobule Sub-county (Kobo/563/Wrks/16-17/00029). Certified by District Engineer on 21/12/2017.

- Construction of 5 Units of One Stance VIP Latrines in Lobule Refugee Settlement. Certified by District Engineer on 29/12/2017.

- Feasibility Study and Design Abuku. Certified by District Engineer on 31/05/2017. Approved by CAO on 31/05/2017. Confirmed by Internal Auditor on 06/June/2017.

- Borehole Siting and Supervision. Certificate Prepared by District Water Officer on 28/05/2017. Confirmed by Internal Auditor on 06/June/2017.

- Borehole Siting and Supervision. Certificate Prepared by District Water Officer on 17/05/2017.

- Construction of OPD at Kuluba Health Center II (Kobo/563/Wrks/16-17/00010). Certificate Signed by District Health Officer on 08/May/2017. Signed by CAO on 09/May/2017. Signed by Senior Internal Auditor on 06/June/2017.

<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<p>•❖❖❖ Evidence that all works projects implemented in the previous FY were appropriately certified – interim and completion certificates for all projects based on technical supervision: score 2</p>	<p>There WAS Evidence that all works projects for the current FY (2017/2018 FY) were clearly labeled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration as confirmed during a field visit conducted by the Assessor on 30/01/2018.</p>	<p>2</p>
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The LG has certified and provided detailed project information on all investments

Maximum 4 points on this performance measure

• Evidence that all works projects for the current FY are clearly labelled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration: score 2

There WAS Evidence that all works projects implemented in the previous FY (2016/2017 FY) were appropriately certified – interim and completion certificates for all projects based on technical supervision as exemplified by the following projects:

- Construction of OPD at Kuluba Health Center II (Kobo/563/Wrks/16-17/00010). Certificate Prepared by Assistant Engineer. Certified by District Engineer. Dated 22/06/2017.

- Construction of Three Classroom Block at Tendele P/S (Kobo/563/Wrks/16-17/00007). Prepared by Assistant Engineer/MoES. Certified by District Engineer in June 2017. Confirmed by Head Teacher - Tendele P/S. Confirmed by Senior Assistant Secretary on 13/03/2017. Authorized by District Education Officer. Authorized by CAO. Authorized by Chief Finance Officer. Authorized by Internal Auditor on 13/05/2017.

- Construction of 5 Stance VIP Latrine at Ponyura P/S in Lobule Sub-county (Kobo/563/Wrks/16-17/00029). Certified by District Engineer on 21/12/2017.

- Construction of 5 Units of One Stance VIP Latrines in Lobule Refugee Settlement. Certified by District Engineer on 29/12/2017.

- Feasibility Study and Design Abuku. Certified by District Engineer on 31/05/2017. Approved by CAO on 31/05/2017. Confirmed by Internal Auditor on 06/June/2017.

- Borehole Siting and Supervision. Certificate Prepared by District Water Officer on 28/05/2017. Confirmed by Internal Auditor on 06/June/2017.

- Borehole Siting and Supervision. Certificate Prepared by District Water Officer on 17/05/2017.

- Construction of OPD at Kuluba Health Center II (Kobo/563/Wrks/16-17/00010). Certificate Signed by District Health Officer on 08/May/2017. Signed by CAO on 09/May/2017. Signed by Senior Internal Auditor on 06/June/2017.

<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<p>• Evidence that all works projects for the current FY are clearly labelled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration: score 2</p>	<p>There WAS Evidence that all works projects for the current FY (2017/2018 FY) were clearly labeled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration as confirmed during a field visit conducted by the Assessor on 30/01/2018.</p>	<p>2</p>
<p>Financial management</p>			
<p>The LG makes monthly and up to-date bank reconciliations</p> <p>Maximum 4 points on this performance measure.</p>	<p>• Evidence that the LG makes monthly bank reconciliations and are up to-date at the time of the assessment: score 4</p>	<p>Koboko DLG has 14 bank accounts including TSA. As of the date of assessment on 29/01/18, the TSA and General Fund accounts were reconciled to 31/10/17. Most of the rest of the accounts were reconciled up to date i.e. 31/12/17.</p>	<p>0</p>
<p>The LG made timely payment of suppliers during the previous FY</p> <p>Maximum 2 points on this performance measure</p>	<p>• If the LG makes timely payment of suppliers during the previous FY – no overdue bills (e.g. procurement bills) of over 2 months: score 2.</p>	<p>The payments reviewed reveal that the district has instances where it has taken long to make payments to its suppliers. Sampled payments here are: Payment of Shs 4,230,000 to Sunrise Petroleum Ltd for supply of IFMIS fuel. Invoice dated 29/11/16 and payment on 15/12/16 (16 days). Payment of Shs 10,000,000 to Gemspat (U) Ltd for titling of district land. Invoice dated 01/12/16 and payment on 22/12/16 (21 days). Payment of Shs 4,400,000 to JB John Bosa Enterprises for supply of printing papers. Invoice dated 31/10/16 and payment on 01/12/16 (30 days). Payment of Shs 1,300,000 to Powa Enterprises for supply of stationery. Invoice dated 06/05/16 and payment on 20/12/16 (7 months and 14 days).</p>	<p>0</p>

<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>•❖❖❖ Evidence that the LG has a substantive Senior Internal Auditor and produced all quarterly internal audit reports for the previous FY: score 3.</p>	<p>The district does not have a substantive senior or principal internal auditor. Mr Abbas Ibrahim who heads the department in acting capacity since July 2007 is by job title an Examiner of Accounts.</p>	<p>0</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>•❖❖❖ Evidence that the LG has a substantive Senior Internal Auditor and produced all quarterly internal audit reports for the previous FY: score 3.</p>	<p>There was no evidence that the LG has provided to Council and LG PAC any information on the status of implementation of internal audit findings for FY 2016/17, nor of follow up of audit queries by the Council. Internal audit reports did not have any section to this effect.</p>	<p>0</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>•❖❖❖ Evidence that the LG has a substantive Senior Internal Auditor and produced all quarterly internal audit reports for the previous FY: score 3.</p>	<p>Internal audits for four quarters of FY 2016/17 were performed and the report were seen and reviewed. Quarter 1 report was submitted to Internal Auditor General on 01/12/16 and to PAC and CAO on 09/12/16, Quarter 2 on 13/02/17 and 16/03/17, Quarter 3 on 26/05/17 and 22/05/17 and Quarter 4 on 18/09/17 and 26/09/17 respectively. However, none of these reports has ever been discussed in the PAC or Council. The last PAC meeting sat on 24 and 25 August 2016 and it reviewed report of FY 2015/16. Council has never discussed internal audit reports.</p>	<p>0</p>

<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>•❖❖❖ Evidence that the LG has provided information to the Council and LG PAC on the status of implementation of internal audit findings for the previous financial year i.e. follow up on audit queries: score 2.</p>	<p>The district does not have a substantive senior or principal internal auditor. Mr Abbas Ibrahim who heads the department in acting capacity since July 2007 is by job title an Examiner of Accounts.</p>	<p>0</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>•❖❖❖ Evidence that the LG has provided information to the Council and LG PAC on the status of implementation of internal audit findings for the previous financial year i.e. follow up on audit queries: score 2.</p>	<p>There was no evidence that the LG has provided to Council and LG PAC any information on the status of implementation of internal audit findings for FY 2016/17, nor of follow up of audit queries by the Council. Internal audit reports did not have any section to this effect.</p>	<p>0</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>•❖❖❖ Evidence that the LG has provided information to the Council and LG PAC on the status of implementation of internal audit findings for the previous financial year i.e. follow up on audit queries: score 2.</p>	<p>Internal audits for four quarters of FY 2016/17 were performed and the report were seen and reviewed. Quarter 1 report was submitted to Internal Auditor General on 01/12/16 and to PAC and CAO on 09/12/16, Quarter 2 on 13/02/17 and 16/03/17, Quarter 3 on 26/05/17 and 22/05/17 and Quarter 4 on 18/09/17 and 26/09/17 respectively. However, none of these reports has ever been discussed in the PAC or Council. The last PAC meeting sat on 24 and 25 August 2016 and it reviewed report of FY 2015/16. Council has never discussed internal audit reports.</p>	<p>0</p>

<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and LG PAC has reviewed them and followed-up: score 1 	<p>The district does not have a substantive senior or principal internal auditor. Mr Abbas Ibrahim who heads the department in acting capacity since July 2007 is by job title an Examiner of Accounts.</p>	<p>0</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and LG PAC has reviewed them and followed-up: score 1 	<p>There was no evidence that the LG has provided to Council and LG PAC any information on the status of implementation of internal audit findings for FY 2016/17, nor of follow up of audit queries by the Council. Internal audit reports did not have any section to this effect.</p>	<p>0</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and LG PAC has reviewed them and followed-up: score 1 	<p>Internal audits for four quarters of FY 2016/17 were performed and the report were seen and reviewed. Quarter 1 report was submitted to Internal Auditor General on 01/12/16 and to PAC and CAO on 09/12/16, Quarter 2 on 13/02/17 and 16/03/17, Quarter 3 on 26/05/17 and 22/05/17 and Quarter 4 on 18/09/17 and 26/09/17 respectively. However, none of these reports has ever been discussed in the PAC or Council. The last PAC meeting sat on 24 and 25 August 2016 and it reviewed report of FY 2015/16. Council has never discussed internal audit reports.</p>	<p>0</p>

<p>The LG maintains a detailed and updated assets register</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the LG maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual: score 4 	<p>The district maintains an asset register in book and excel forms, formatted as recommended in the Finance Manual. The pages reviewed show that some of the most recently acquired assets such as the compactor and water bowser were already present in the book. However, the register lacks the values of land and buildings, and of government donated assets such as cars and other equipment.</p>	<p>0</p>
<p>The LG has obtained an unqualified or qualified Audit opinion</p> <p>Maximum 4 points on this performance measure</p>	<p>Quality of Annual financial statement from previous FY:</p> <ul style="list-style-type: none"> unqualified audit opinion: score 4 Qualified: score 2 Adverse/disclaimer: score 0 	<p>Koboko DLG has an unqualified Audit opinion for its FY 2016/17 financial statements, with an emphasis of matter on 9 issues. ? Utilization of Medicines and Health Supplies ? Drug stock-outs ? Understaffing ? Outstanding Domestic Arrears ? Failure to implement budget as approved by Parliament ? Low recovery rate of Youth Livelihood Project Funds ? Review of Internal Audit Department ? Inadequate Internal Audit staff ? Release of USE funds to a non-Operational school</p>	<p>4</p>
<p>Governance, oversight, transparency and accountability</p>			
<p>The LG Council meets and discusses service delivery related issues</p> <p>Maximum 2 points on this performance measure</p>	<p>Evidence that the Council meets and discusses service delivery related issues including TPC reports, monitoring reports, performance assessment results and LG PAC reports for last FY: score 2</p>	<p>Minutes of the 5th sitting of the 1st session of the 3rd council of Koboko district held on 23rd May,2017 discussed among others</p> <ul style="list-style-type: none"> Reallocation of 29millions from Lima-Madikini-Pamodo-Tendele road works for the construction of Lukodolo box culvert Min4/COU/03/2017 Presentation and discussion of the special investigation monitoring report on environmental degradation in Kuluba and Ludara subcounties Min5/COU/05/2017 Discussion of the special monitoring report on food security Min6/COU/5/2017 <p>Minute of the 3rd sitting of the 5th session of the 2nd council held on 31st/3/2016, discussed</p> <ul style="list-style-type: none"> Laying of the FY2016/17 budget estimates Laying of the capacity building plan FY2016/17 Laying of Revenue Enhancement Plan FY2016/17 Introduction of the new members of the DSC Updates on YLP and UWEP in the district 	<p>2</p>

<p>The LG has responded to the feedback/complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that LG has designated a person to coordinate response to feed-back (grievance /complaints) and responded to feedback and complaints: score 2. 	<p>There is no evidence that Koboko district LG has a designated staff to coordinate, respond and provide feedback on complains/grievances provided by citizens.</p>	<p>0</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<p>Evidence that the LG has published: • The LG Payroll and Pensioner Schedule on public notice boards and other means: score 2</p>	<p>There was evidence of information published on a number of public notice boards such as</p> <p>Pension details required for PBS by 2/2/2018</p> <p>Quarter 3 FY2017/18 central government release dated 24/1/2018</p> <p>Quarter 3 FY2017/18 UPE and USE release dated 25th/1/2018</p>	<p>2</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<p>Evidence that the LG has published: • The LG Payroll and Pensioner Schedule on public notice boards and other means: score 2</p>	<p>There was no evidence of procurement information published on notice boards. The only information published was job shortlists for interviews</p>	<p>0</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<p>Evidence that the LG has published: • The LG Payroll and Pensioner Schedule on public notice boards and other means: score 2</p>	<p>Not applicable since LG performance assessment was not done in FY2016/17, so there was nothing to publish regarding assessement results.</p>	<p>0</p>

<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<p>•◆◆◆ Evidence that the procurement plan and awarded contracts and amounts are published: score 1</p>	<p>There was evidence of information published on a number of public notice boards such as</p> <p>Pension details required for PBS by 2/2/2018</p> <p>Quarter 3 FY2017/18 central government release dated 24/1/2018</p> <p>Quarter 3 FY2017/18 UPE and USE release dated 25th/1/2018</p>	<p>2</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<p>•◆◆◆ Evidence that the procurement plan and awarded contracts and amounts are published: score 1</p>	<p>There was no evidence of procurement information◆ published on notice boards. The only information published was job shortlists for interviews</p>	<p>0</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<p>•◆◆◆ Evidence that the procurement plan and awarded contracts and amounts are published: score 1</p>	<p>Not applicable since LG performance assessment was not done in FY2016/17, so there was nothing to publish regarding assessment results.</p>	<p>0</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<p>•◆◆◆ Evidence that the LG performance assessment results and implications, are published e.g.◆ on the budget website for the previous year (from budget requirements): score 1.</p>	<p>There was evidence of information published on a number of public notice boards such as</p> <p>Pension details required for PBS by 2/2/2018</p> <p>Quarter 3 FY2017/18 central government release dated 24/1/2018</p> <p>Quarter 3 FY2017/18 UPE and USE release dated 25th/1/2018</p>	<p>2</p>

<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that the LG performance assessment results and implications, are published e.g. on the budget website for the previous year (from budget requirements): score 1. 	<p>There was no evidence of procurement information published on notice boards. The only information published was job shortlists for interviews</p>	<p>0</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that the LG performance assessment results and implications, are published e.g. on the budget website for the previous year (from budget requirements): score 1. 	<p>Not applicable since LG performance assessment was not done in FY2016/17, so there was nothing to publish regarding assessment results.</p>	<p>0</p>
<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the HLG have communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY: score 1 	<p>No evidence that HLG communicated and explained guidelines, circulars and policies issues by the national level to LLG during the FY2016/17</p>	<p>0</p>

<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the HLG have communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY: score 1 	<p>There was no evidence that Koboko district LG conducted discussions with the public to provide feed-back on status of activity implementation.</p>	<p>0</p>
<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that LG during previous FY has conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc..) with the public to provide feed-back on status of activity implementation: score 1. 	<p>No evidence that HLG communicated and explained guidelines, circulars and policies issues by the national level to LLG during the FY2016/17</p>	<p>0</p>
<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that LG during previous FY has conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc..) with the public to provide feed-back on status of activity implementation: score 1. 	<p>There was no evidence that Koboko district LG conducted discussions with the public to provide feed-back on status of activity implementation.</p>	<p>0</p>
<p>Social and environmental safeguards</p>			
<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p>	<ul style="list-style-type: none"> • Evidence that the LG gender focal person has provided guidance and support to sector departments to mainstream gender into their activities score 2. 	<p>There WAS Evidence that the LG gender focal person provided guidance and support to sector departments to mainstream gender into their activities as exemplified by the following:</p> <ul style="list-style-type: none"> - The Assessor saw Koboko DLG Mentoring Report on Gender Mainstreaming Dated July 2017. The 	<p>2</p>

Maximum 4 points on this performance measure.

Report was Prepared and Signed by the LG Gender Focal Point Person on 02/July/2017.

- The Assessor saw Koboko DLG Report on Mentoring Meeting that was held on 08/June/2017 in the Office of the CAO. The Meeting was attended by Labor Officer, Senior Community Development Officer, Assistant Community Development Officer, District Education Officer, Internal Auditor, Accountant, District Engineer, Statistician, and Acting District Community Development Officer.

- The Assessor saw Koboko DLG Department of Community Based Services Gender Awareness Training Report Dated 20/12/2017. The Report was prepared by Senior Community Development Officer in December 2017.

- The Assessor saw Koboko DLG Report on SGBV Community Dialogue Sessions Dated 28/11/2017 and Submitted to CAO. The Report was prepared by Senior Community Development Officer in November 2017.

- The Assessor saw Gender Guidelines that were extracted from the National Gender Policy and disseminated to Heads of Departments and Sections as stated in a Letter Dated 30/06/2017 and Signed by Senior Community Development Officer.

- The Assessor saw a Budgetary Allocation of 4,554,000 UGX for Gender Mainstreaming Activities under DDEG (Vote 563, Output 108107 Gender Mainstreaming, Page 35 of Annual Work Plan and Budget for 2016/17 FY).

- The Assessor saw a Budgetary Allocation of 7,500,000 UGX for Gender Mainstreaming Activities under DDEG (6,000,000 UGX) and under Local Revenue (1,500,000 UGX) (Vote 563, Output 0905 Gender, Page 3 of Annual Work Plan and Budget for 2017/18 FY).

- The Assessor saw Quarterly Work Plan (1st – 4th Quarter) for 2017/18 FY for Community Based Services Department.

<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG gender focal person has provided guidance and support to sector departments to mainstream gender into their activities score 2. 	<ul style="list-style-type: none"> - There WAS Evidence that gender focal point had planned activities for current FY (2017/2018 FY) to strengthen women's roles. The Assessor saw Quarterly Work Plan (1st – 4th Quarter) for 2017/18 FY for Community Based Services Department. The Assessor also saw a Budgetary Allocation of 7,500,000 UGX for Gender Mainstreaming Activities under DDEG (6,000,000 UGX) and under Local Revenue (1,500,000 UGX) (Vote 563, Output 0905 Gender, Page 3 of Annual Work Plan and Budget for 2017/18 FY). - There was NO Evidence that more than 90% of previous year's budget for gender activities (based on Local Revenue) was implemented since the Allocation that was made for Gender Activities from Local Revenue for FY 2016/2017 (632,000 UGX) was NOT disbursed. 	<p>0</p>
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The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles

Maximum 4 points on this performance measure.

• Evidence that gender focal point has planned activities for current FY to strengthen women's roles and that more than 90% of previous year's budget for gender activities has been implemented: score 2.

There WAS Evidence that the LG gender focal person provided guidance and support to sector departments to mainstream gender into their activities as exemplified by the following:

- The Assessor saw Koboko DLG Mentoring Report on Gender Mainstreaming Dated July 2017. The Report was Prepared and Signed by the LG Gender Focal Point Person on 02/July/2017.

- The Assessor saw Koboko DLG Report on Mentoring Meeting that was held on 08/June/2017 in the Office of the CAO. The Meeting was attended by Labor Officer, Senior Community Development Officer, Assistant Community Development Officer, District Education Officer, Internal Auditor, Accountant, District Engineer, Statistician, and Acting District Community Development Officer.

- The Assessor saw Koboko DLG Department of Community Based Services Gender Awareness Training Report Dated 20/12/2017. The Report was prepared by Senior Community Development Officer in December 2017.

- The Assessor saw Koboko DLG Report on SGBV Community Dialogue Sessions Dated 28/11/2017 and Submitted to CAO. The Report was prepared by Senior Community Development Officer in November 2017.

- The Assessor saw Gender Guidelines that were extracted from the National Gender Policy and disseminated to Heads of Departments and Sections as stated in a Letter Dated 30/06/2017 and Signed by Senior Community Development Officer.

- The Assessor saw a Budgetary Allocation of 4,554,000 UGX for Gender Mainstreaming Activities under DDEG (Vote 563, Output 108107 Gender Mainstreaming, Page 35 of Annual Work Plan and Budget for 2016/17 FY).

- The Assessor saw a Budgetary Allocation of 7,500,000 UGX for Gender Mainstreaming Activities under DDEG (6,000,000 UGX) and under Local Revenue (1,500,000 UGX) (Vote 563, Output 0905 Gender, Page 3 of Annual Work Plan and Budget for 2017/18 FY).

- The Assessor saw Quarterly Work Plan (1st – 4th Quarter) for 2017/18 FY for Community Based Services Department.

<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that gender focal point has planned activities for current FY to strengthen women's roles and that more than 90% of previous year's budget for gender activities has been implemented: score 2. 	<ul style="list-style-type: none"> - There WAS Evidence that gender focal point had planned activities for current FY (2017/2018 FY) to strengthen women's roles. The Assessor saw Quarterly Work Plan (1st – 4th Quarter) for 2017/18 FY for Community Based Services Department. The Assessor also saw a Budgetary Allocation of 7,500,000 UGX for Gender Mainstreaming Activities under DDEG (6,000,000 UGX) and under Local Revenue (1,500,000 UGX) (Vote 563, Output 0905 Gender, Page 3 of Annual Work Plan and Budget for 2017/18 FY). - There was NO Evidence that more than 90% of previous year's budget for gender activities (based on Local Revenue) was implemented since the Allocation that was made for Gender Activities from Local Revenue for FY 2016/2017 (632,000 UGX) was NOT disbursed. 	<p>0</p>
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<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that environmental screening or EIA where appropriate, are carried out for activities, projects and plans and mitigation measures are planned and budgeted for: score 2 	<p>There WAS Evidence that environmental screening or EIA where appropriate, was carried out for activities, projects and plans and mitigation measures were planned and budgeted for as exemplified by the following:</p> <ul style="list-style-type: none"> - The Assessor saw Environmental and Social Screening Form (ESSF) for Road Opening and Shaping of 13.5 Km Tekere - Jabala - Adramjiga Road Dated 08/November/2016. - The Assessor saw Environmental and Social Screening Form (ESSF) for Construction of OPD Block at Kuluba Health Center II Dated 27/09/2016. - The Assessor saw Environmental and Social Screening Form (ESSF) for Construction of Three Classroom Block at Tendere P/S Dated 27/09/2016. - The Assessor saw Environmental and Social Screening Form (ESSF) for Construction of PSN Latrine at Lobule Dated 27/04/2017. - The Assessor saw Environmental and Social Screening Form (ESSF) for Fencing Pijoke Health Center III Dated 18/08/2017. - The Assessor saw Environmental and Social Screening Form (ESSF) for Rehabilitation of Kagoropa - Korokaya Road Dated 19/08/2017. - The Assessor saw Environmental and Social Screening Form (ESSF) for Construction of Police Post at Busia Dated 19/08/2017. - The Assessor saw Environmental and Social Screening Form (ESSF) for Construction of 4 Stance VIP Latrine at Ponyura P/S Dated 05/December/2016. - The Assessor saw Environmental and Social Screening Form (ESSF) for Construction of 5 Stance VIP Latrine at Ponyura P/S Dated 10/April/2017. - The Assessor saw Environmental and Social Management Plan (ESMP) for Construction of Three Classroom Block at Tendere P/S Dated 23/01/2018.
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<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that environmental screening or EIA where appropriate, are carried out for activities, projects and plans and mitigation measures are planned and budgeted for: score 2 	<p>There was NO Evidence that the LG integrated environmental and social management plans in the contract bid documents.</p>	<p>0</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that environmental screening or EIA where appropriate, are carried out for activities, projects and plans and mitigation measures are planned and budgeted for: score 2 	<p>There was NO Evidence that all projects were implemented on land where the LG had proof of ownership by way of a land title or agreement with land owners. None of the projects sampled had a copy of a land title or a land agreement on their Procurement Files that were appropriately and adequately referenced. ♦</p>	<p>0</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that environmental screening or EIA where appropriate, are carried out for activities, projects and plans and mitigation measures are planned and budgeted for: score 2 	<p>There was NO Evidence that all completed projects had Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer. None of the projects sampled had a copy of an Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer on their Procurement Files that were appropriately and adequately referenced.</p>	<p>0</p>

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG integrates environmental and social management plans in the contract bid documents: score 1 	<p>There WAS Evidence that environmental screening or EIA where appropriate, was carried out for activities, projects and plans and mitigation measures were planned and budgeted for as exemplified by the following:</p> <ul style="list-style-type: none"> - The Assessor saw Environmental and Social Screening Form (ESSF) for Road Opening and Shaping of 13.5 Km Tekere - Jabala - Adramjiga Road Dated 08/November/2016. - The Assessor saw Environmental and Social Screening Form (ESSF) for Construction of OPD Block at Kuluba Health Center II Dated 27/09/2016. - The Assessor saw Environmental and Social Screening Form (ESSF) for Construction of Three Classroom Block at Tendere P/S Dated 27/09/2016. - The Assessor saw Environmental and Social Screening Form (ESSF) for Construction of PSN Latrine at Lobule Dated 27/04/2017. - The Assessor saw Environmental and Social Screening Form (ESSF) for Fencing Pijoke Health Center III Dated 18/08/2017. - The Assessor saw Environmental and Social Screening Form (ESSF) for Rehabilitation of Kagoropa - Korokaya Road Dated 19/08/2017. - The Assessor saw Environmental and Social Screening Form (ESSF) for Construction of Police Post at Busia Dated 19/08/2017. - The Assessor saw Environmental and Social Screening Form (ESSF) for Construction of 4 Stance VIP Latrine at Ponyura P/S Dated 05/December/2016. - The Assessor saw Environmental and Social Screening Form (ESSF) for Construction of 5 Stance VIP Latrine at Ponyura P/S Dated 10/April/2017. - The Assessor saw Environmental and Social Management Plan (ESMP) for Construction of Three Classroom Block at Tendere P/S Dated 23/01/2018. 	<p>2</p>
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<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG integrates environmental and social management plans in the contract bid documents: score 1 	<p>There was NO Evidence that the LG integrated environmental and social management plans in the contract bid documents.</p>	<p>0</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG integrates environmental and social management plans in the contract bid documents: score 1 	<p>There was NO Evidence that all projects were implemented on land where the LG had proof of ownership by way of a land title or agreement with land owners. None of the projects sampled had a copy of a land title or a land agreement on their Procurement Files that were appropriately and adequately referenced. ❖</p>	<p>0</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG integrates environmental and social management plans in the contract bid documents: score 1 	<p>There was NO Evidence that all completed projects had Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer. None of the projects sampled had a copy of an Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer on their Procurement Files that were appropriately and adequately referenced.</p>	<p>0</p>

LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition

Maximum 6 points on this performance measure

• Evidence that all projects are implemented on land where the LG has proof of ownership (e.g. a land title, agreement etc.):
score 1

There WAS Evidence that environmental screening or EIA where appropriate, was carried out for activities, projects and plans and mitigation measures were planned and budgeted for as exemplified by the following:

- The Assessor saw Environmental and Social Screening Form (ESSF) for Road Opening and Shaping of 13.5 Km Tekere - Jabala - Adramjiga Road Dated 08/November/2016.
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- The Assessor saw Environmental and Social Screening Form (ESSF) for Fencing Pijoke Health Center III Dated 18/08/2017.
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- The Assessor saw Environmental and Social Screening Form (ESSF) for Construction of 5 Stance VIP Latrine at Ponyura P/S Dated 10/April/2017.
- The Assessor saw Environmental and Social Management Plan (ESMP) for Construction of Three Classroom Block at Tendere P/S Dated 23/01/2018.

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all projects are implemented on land where the LG has proof of ownership (e.g. a land title, agreement etc.): score 1 	<p>There was NO Evidence that the LG integrated environmental and social management plans in the contract bid documents.</p>	<p>0</p>
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LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition

Maximum 6 points on this performance measure

• Evidence that all completed projects have Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer: score 2

There WAS Evidence that environmental screening or EIA where appropriate, was carried out for activities, projects and plans and mitigation measures were planned and budgeted for as exemplified by the following:

- The Assessor saw Environmental and Social Screening Form (ESSF) for Road Opening and Shaping of 13.5 Km Tekere - Jabala - Adramjiga Road Dated 08/November/2016.
- The Assessor saw Environmental and Social Screening Form (ESSF) for Construction of OPD Block at Kuluba Health Center II Dated 27/09/2016.
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